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R O X A S A N D C O M P A N Y , I N C .  
( F O R M E R L Y C A D P G R O U P  
C O R P O R A T I O N )

(Company's Full Name)

7 T H F L O O R C G B U I L D I N G  
1 0 1 A G U I R R E S T R E E T L E G A S P I  
V I L L A G E M A K A T I C I T Y

(Business Address: No. of Street City/Town/Province)

ATTY. MONICA ISABELLE I. VILLANUEVA

8810-8901

December 31  
Month Day  
Fiscal Year

SEC Form 17-C  
Form Type

May last Wednesday  
Month Day  
Annual Meeting

Secondary License Type, If Applicable

Department Requiring this Document

Amended Articles Number/Section

3,300  
Total No. of Stockholders

. Total Amount of Borrowings  
Domestic Foreign

TO BE ACCOMPLISHED BY SEC PERSONNEL CONCERNED

File Number

LCU

Document I.D.

Cashier

STAMPS

Remarks = pls. Use black ink for scanning purposes

SECURITIES AND EXCHANGE COMMISSION

SEC FORM 17-C

CURRENT REPORT UNDER SECTION 17  
OF THE SECURITIES REGULATION CODE  
AND SRC RULE 17.2(c) THEREUNDER

1. Date of Report: **03 May 2021**.
2. SEC Identification Number: **PW-00000834**.
3. BIR Tax Identification No. : **000-269-435-000**.
4. Exact name of issuer as specified in its charter: **ROXAS AND COMPANY, INC.**
5. **Philippines**  
Province, Country or other jurisdiction of  
Incorporation or Organization
6. (SEC Use Only)  
Industry Classification Code
7. **7F Cacho-Gonzales Building, 101 Aguirre Street  
Legaspi Village, Makati City 1229**  
Address of Principal Office
8. **(632) 8810-89-01 to 06**  
Registrant's telephone number, including area code
9. **CADP GROUP CORPORATION**  
6F Cacho-Gonzales Building, 101 Aguirre Street  
Legaspi Village, Makati City 1229  
Former name, former address and former fiscal year, if changed since last report
10. Securities registered pursuant to Sections 8 and 12 of the Code, or Sections 4  
and 8 of the RSA

Title of Each Class	No. of Shares of Stock Outstanding and Amount of Debt Outstanding
Authorized Capital Stock Common	<b>P3,375,000,000.00</b>
No. of Shares Subscribed & Outstanding Common	2,205,659,973

11. Indicate the item numbers reported herein: Item 9.

Item 9. Others.

Please be informed that the Board of Directors of Roxas and Company, Inc. ("RCI") in its Special Board meeting today, 03 May 2021, approved the postponement of the Annual Stockholders Meeting to 16 June 2021, 10:00 a.m. The record date was likewise adjusted from 30 April 2021 to 18 May 2021.

Because of the declaration of Enhanced Community Quarantine and Modified Enhanced Community Quarantine in Metro Manila until 14 May 2021, the Company requires additional time to finalize its consolidated Audited Financial Statements and Annual Report (which need to be provided to the stockholders for the ASM). The delay is due to lack of time to complete reports and updates on the operations of the whole Roxas Group and limitations on the preparation of documents required to comply with the regulatory agencies for the holding of stockholders' meeting.

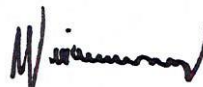
SIGNATURE

Pursuant to the requirements of the Securities Regulation Code, the issuer has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

**ROXAS AND COMPANY, INC.**

Issuer

By:



**MONICA ISABELLE I. VILLANUEVA**

Compliance Officer

Date: 03 May 2021.

REPUBLIC OF THE PHILIPPINES)  
MAKATI CITY, METRO MANILA) S.S.

### **SECRETARY'S CERTIFICATE**

I, **MONICA ISABELLE I. VILLANUEVA**, of legal age, Filipino, and with office address at 7<sup>th</sup> Floor Cacho-Gonzales Building, 101 Aguirre St. Legaspi Village, Makati City after being sworn in accordance with law, hereby certify that:

1. I am the duly appointed and incumbent Assistant Corporate Secretary of **ROXAS AND COMPANY, INC.** (the "Corporation"), a corporation duly organized and existing under and by virtue of the laws of the Republic of the Philippines, with principal office address at 7<sup>th</sup> Floor Cacho-Gonzales Building, 101 Aguirre St. Legaspi Village Makati City, Metro Manila.

2. At the Special Meeting of the Board of Directors of the Corporation held on **03 May 2021** via video conference, there being a quorum present and acting throughout, the Board unanimously passed and approved the following resolutions:

"WHEREAS, the Corporation's By-laws provides that the Annual Meeting of the Shareholders shall be held every last Wednesday of May;"

"WHEREAS, the Board previously set the Annual Shareholders' Meeting to 27 May 2021 during its Board meeting held on 12 April 2021;"

"WHEREAS, on 28 April 2021, the Government of the Republic of the Philippines extended Modified Enhanced Community Quarantine for NCR Plus;"

"**RESOLVED**, as it hereby resolved, that the Corporation be authorized to defer the Annual Shareholders' Meeting to 16 June 2021 instead of 27 May 2021 to give the Corporation more time to finalize its Consolidated Audited Financial Statements and Annual Report because of the delays in the reports and limitation on movement and business operations caused by the restrictions related to Modified Enhanced Community Quarantine in NCR Plus."

"**RESOLVED, FURTHER**, that the Board of Directors hereby adjusts the record date for such meeting to 18 May 2021;"

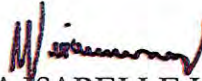
"**RESOLVED, FURTHER**, that the Corporation shall be authorized to send out notices of the meeting together with the 20-IS within the periods prescribed by law and by means of publication as allowed under SEC Notice dated 16 March 2021;"

**“RESOLVED, FURTHER,** that the Corporation be authorized to conduct the annual meeting through remote means as allowed under Memorandum Circular No. 6, Series of 2020 of the Securities and Exchange Commission.”

**“RESOLVED, FINALLY,** that the foregoing resolutions shall be valid and subsisting unless otherwise revoked or amended in writing by the Corporation.”

4. The foregoing resolutions are in accordance with the records of the Corporation presently in my custody.

In WITNESS WHEREOF, I hereunto set my hand this 3<sup>rd</sup> day of May 2021 at Makati City.



**MONICA ISABELLE I. VILLANUEVA**  
*Assistant Corporate Secretary*

**SUBSCRIBED AND SWORN** to before me this MAY 03 2021 at Makati City, Philippines, affiant exhibited to me her Passport I.D. No. P1265908A issued on 17 December 2016 at Manila, Philippines.

Doc. No. 119;  
Page No. 25;  
Book No. 22;  
Series of 2021.



**ATTY. JOHN DOMINGO A. PONCE, JR.**  
**NOTARY PUBLIC**  
**APPOINTMENT No. 11-92 / MAKATI CITY**  
**UNTIL JUNE 30, 2021**

**(per Supreme Court En Banc Resolution dated December 4, 2020)**

**PTR No. 8530267 / 01-04-2021 MAKATI CITY**  
**TSF No. 102504 / 01-04-2021 RIZAL**  
**MCLE COMPLIANCE No. V-2021020 / 03-23-2019**  
**ROLL NO. 30452 / TIS No. 104-039-182-000**  
**Unit G-14 Makati Executive Tower 3**  
**Sen. Gil Puyat Avenue, Pio del Pilar,**  
**Makati City, Metro Manila**