

GENERAL INFORMATION SHEET (GIS)

FOR THE YEAR 2020

STOCK CORPORATION

GENERAL INSTRUCTIONS:

1. FOR USER CORPORATION: THIS GIS SHOULD BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS FROM THE DATE OF THE ANNUAL STOCKHOLDERS' MEETING. **DO NOT LEAVE ANY ITEM BLANK.** WRITE "N.A." IF THE INFORMATION REQUIRED IS NOT APPLICABLE TO THE CORPORATION OR "NONE" IF THE INFORMATION IS NON-EXISTENT. IF THE ANNUAL STOCKHOLDERS' MEETING IS HELD ON A DATE OTHER THAN THAT STATED IN THE BY-LAWS, THE GIS SHALL BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS AFTER THE ELECTION OF THE DIRECTORS, TRUSTEES AND OFFICERS OF THE CORPORATION AT THE ANNUAL MEMBERS' MEETING.
2. IF NO MEETING IS HELD, THE CORPORATION SHALL SUBMIT THE GIS NOT LATER THAN JANUARY 30 OF THE FOLLOWING YEAR. HOWEVER, SHOULD AN ANNUAL STOCKHOLDERS' MEETING BE HELD THEREAFTER, A NEW GIS SHALL BE SUBMITTED/FILED.
3. THIS GIS SHALL BE ACCOMPLISHED IN ENGLISH AND CERTIFIED AND SWORN TO BY THE **CORPORATE SECRETARY** OF THE CORPORATION.
4. THE SEC SHOULD BE TIMELY APPRISED OF RELEVANT CHANGES IN THE SUBMITTED INFORMATION AS THEY ARISE. FOR CHANGES RESULTING FROM ACTIONS THAT AROSE BETWEEN THE ANNUAL MEETINGS, THE CORPORATION SHALL SUBMIT AMENDED GIS CONTAINING THE NEW INFORMATION TOGETHER WITH A COVER LETTER SIGNED THE CORPORATE SECRETARY OF THE CORPORATION. THE AMENDED GIS AND COVER LETTER SHALL BE SUBMITTED WITHIN SEVEN (7) DAYS AFTER SUCH CHANGE OCCURED OR BECAME EFFECTIVE.
5. SUBMIT FOUR (4) COPIES OF THE GIS TO THE RECEIVING SECTION AT THE SEC MAIN OFFICE, OR TO SEC SATELLITE OFFICES OR EXTENSION OFFICES. ALL COPIES SHALL UNIFORMLY BE ON A4 OR LETTER-SIZED PAPER. THE PAGES OF ALL COPIES SHALL USE ONLY ONE SIDE
6. **ONLY THE GIS ACCOMPLISHED IN ACCORDANCE WITH THESE INSTRUCTIONS SHALL BE CONSIDERED AS HAVING BEEN FILED.**
7. THIS GIS MAY BE USED AS EVIDENCE AGAINST THE CORPORATION AND ITS RESPONSIBLE DIRECTORS/OFFICERS FOR ANY VIOLATION OF EXISTING LAWS, RULES AND REGULATIONS

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME: ROXAS AND COMPANY, INC. (formerly: CADP Group Corporation)		DATE REGISTERED: 10/7/1918
BUSINESS/TRADE NAME: ROXAS AND COMPANY, INC. (formerly: CADP Group Corporation)		FISCAL YEAR END: December 31
SEC REGISTRATION NUMBER: PW-00000834		CORPORATE TAX IDENTIFICATION NUMBER (TIN): 000-269-435-000
DATE OF ANNUAL MEETING PER BY-LAWS: 4th Wednesday of May		WEBSITE/URL ADDRESS: www.roxascompany.com.ph
ACTUAL DATE OF ANNUAL MEETING: 12-Aug-20		E-MAIL ADDRESS:
COMPLETE PRINCIPAL OFFICE ADDRESS: 7TH FLOOR CACHO-GONZALES BLDG. 101 AGUIRRE ST., LEGASPI VILLAGE, MAKATI CITY		FAX NUMBER: NONE
COMPLETE BUSINESS ADDRESS: 7TH FLOOR CACHO-GONZALES BLDG. 101 AGUIRRE ST., LEGASPI VILLAGE, MAKATI CITY		TELEPHONE NUMBER(S): (632) 8810-8901 TO 06
NAME OF EXTERNAL AUDITOR & ITS SIGNING PARTNER: SGV & Co.		SEC ACCREDITATION NUMBER (if applicable): 0012-FR-4
PRIMARY PURPOSE/ACTIVITY/INDUSTRY PRESENTLY ENGAGED IN: HOLDING AND INVESTMENT COMPANY	INDUSTRY CLASSIFICATION: NONE	GEOGRAPHICAL CODE: NONE

===== INTERCOMPANY AFFILIATIONS =====

PARENT COMPANY	SEC REGISTRATION NO.	ADDRESS
N/A		
SUBSIDIARY/AFFILIATE	SEC REGISTRATION NO.	ADDRESS
ROXACO LAND CORPORATION	150570	Nasugbu, Batangas, Philippines
ROXAS GREEN ENERGY CORPORATION	CS201509364	7th Floor CG Building, 101 Aguirre Street, Legaspi Village, Makati City
ROXAS SIGMA AGRIVENTURES, INC.	CS201502789	Purok 10, Poblacion, Tupi, South Cotabato
ROXAS HOLDINGS, INC.	PW - 15	14th Floor, Net One Center, 3rd Avenue corner 26th Street, Bonifacio Global City, Taguig
UNITED VENTURES CORPORATION	48146	7th Floor CG Building, 101 Aguirre Street, Legaspi Village, Makati City

NOTE: USE ADDITIONAL SHEET IF NECESSARY

GENERAL INFORMATION SHEET

STOCK CORPORATION

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Corporate Name: ROXAS AND COMPANY INC

A. Is the Corporation a covered person under the Anti Money Laundering Act (AMLA), as amended? (Rep. Acts. 9160/9164/10167/10365) Yes No

Please check the appropriate box:

<p>1.</p> <p><input type="checkbox"/> a. Banks</p> <p><input type="checkbox"/> b. Offshore Banking Units</p> <p><input type="checkbox"/> c. Quasi-Banks</p> <p><input type="checkbox"/> d. Trust Entities</p> <p><input type="checkbox"/> e. Non-Stock Savings and Loan Associations</p> <p><input type="checkbox"/> f. Pawnshops</p> <p><input type="checkbox"/> g. Foreign Exchange Dealers</p> <p><input type="checkbox"/> h. Money Changers</p> <p><input type="checkbox"/> i. Remittance Agents</p> <p><input type="checkbox"/> j. Electronic Money Issuers</p> <p><input type="checkbox"/> k. Financial Institutions which Under Special Laws are subject to Bangko Sentral ng Pilipinas' (BSP) supervision and/or regulation, including their subsidiaries and affiliates.</p>	<p>4. <input type="checkbox"/> Jewelry dealers in precious metals, who, as a business, trade in precious metals</p>		
<p>2.</p> <p><input type="checkbox"/> a. Insurance Companies</p> <p><input type="checkbox"/> b. Insurance Agents</p> <p><input type="checkbox"/> c. Insurance Brokers</p> <p><input type="checkbox"/> d. Professional Reinsurers</p> <p><input type="checkbox"/> e. Reinsurance Brokers</p> <p><input type="checkbox"/> f. Holding Companies</p> <p><input type="checkbox"/> g. Holding Company Systems</p> <p><input type="checkbox"/> h. Pre-need Companies</p> <p><input type="checkbox"/> i. Mutual Benefit Association</p> <p><input type="checkbox"/> j. All Other Persons and entities supervised and/or regulated by the Insurance Commission (IC)</p>	<p>5. <input type="checkbox"/> Jewelry dealers in precious stones, who, as a business, trade in precious stone</p>		
<p>3.</p> <p><input type="checkbox"/> a. Securities Dealers</p> <p><input type="checkbox"/> b. Securities Brokers</p> <p><input type="checkbox"/> c. Securities Salesman</p> <p><input type="checkbox"/> d. Investment Houses</p> <p><input type="checkbox"/> e. Investment Agents and Consultants</p> <p><input type="checkbox"/> f. Trading Advisors</p> <p><input type="checkbox"/> g. Other entities managing Securities or rendering similar services</p> <p><input type="checkbox"/> h. Mutual Funds or Open-end Investment Companies</p> <p><input type="checkbox"/> i. Close-end Investment Companies</p> <p><input type="checkbox"/> j. Common Trust Funds or Issuers and other similar entities</p> <p><input type="checkbox"/> k. Transfer Companies and other similar entities</p> <p><input type="checkbox"/> l. Other entities administering or otherwise dealing in currency, commodities or financial derivatives based there on</p> <p><input type="checkbox"/> m. Entities administering or otherwise dealing in valuable objects</p> <p><input type="checkbox"/> n. Entities administering or otherwise dealing in cash Substitutes and other similar monetary instruments or property supervised and/or regulated by the Securities and Exchange Commission (SEC)</p>	<p>6. Company service providers which, as a business, provide any of the following services to third parties:</p> <p><input type="checkbox"/> a. acting as a formation agent of juridical persons</p> <p><input type="checkbox"/> b. acting as (or arranging for another person to act as) a director or corporate secretary of a company, a partner of a partnership, or a similar position in relation to other juridical persons</p> <p><input type="checkbox"/> c. providing a registered office, business address or accommodation, correspondence or administrative address for a company, a partnership or any other legal person or arrangement</p> <p><input type="checkbox"/> d. acting as (or arranging for another person to act as) a nominee shareholder for another person</p>		
	<p>7. Persons who provide any of the following services:</p> <p><input type="checkbox"/> a. managing of client money, securities or other assets</p> <p><input type="checkbox"/> b. management of bank, savings or securities accounts</p> <p><input type="checkbox"/> c. organization of contributions for the creation, operation or management of companies</p> <p><input type="checkbox"/> d. creation, operation or management of juridical persons or arrangements, and buying and selling business entities</p>		
	<p>8. <input checked="" type="checkbox"/> None of the above</p>		
	<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td style="width:20%; padding: 5px;">Describe nature of business:</td> <td style="padding: 5px;">HOLDING AND INVESTMENT COMPANY</td> </tr> </table>	Describe nature of business:	HOLDING AND INVESTMENT COMPANY
Describe nature of business:	HOLDING AND INVESTMENT COMPANY		

B. Has the Corporation complied with the requirements on Customer Due Diligence (CDD) or Know Your Customer (KYC), record-keeping, and submission of reports under the AMLA, as amended, since the last filing of its GIS? Yes No

GENERAL INFORMATION SHEET
STOCK CORPORATION

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CORPORATE NAME:	ROXAS AND COMPANY, INC.
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CAPITAL STRUCTURE

AUTHORIZED CAPITAL STOCK

	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP) (No. of shares X Par/Stated Value)
	COMMON	3,375,000,000	1	3,375,000,000.00
	PREFERRED	1,000,000,000	1	1,000,000,000.00
TOTAL		4,375,000,000	TOTAL P	4,375,000,000.00

SUBSCRIBED CAPITAL

FILIPINO	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
		COMMON	1,858,877,231	839,312,732	1.00	1,858,877,231.00	70.68%
		PREFERRED	500,000,000		1.00	500,000,000.00	19.01%
TOTAL			2,358,877,231	TOTAL	TOTAL P	2,358,877,231.00	89.69%

FOREIGN (INDICATE BY NATIONALITY)	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
		COMMON	271,066,208	271,066,208	1.00	271,066,208.00	10.31%
Percentage of Foreign Equity :			TOTAL	TOTAL	TOTAL P	271,066,208.00	10.31%
TOTAL SUBSCRIBED P						2,629,943,439.00	100.00%

PAID-UP CAPITAL

FILIPINO	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
		COMMON	1,858,877,231	1	1,858,877,231.00	70.68%
		PREFERRED	500,000,000	1	500,000,000.00	19.01%
TOTAL			2,358,877,231	TOTAL P	2,358,877,231.00	89.69%

FOREIGN (INDICATE BY NATIONALITY)	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
		COMMON	271,066,208	1	271,066,208.00	10.31%
10.31 %			TOTAL	TOTAL P	271,066,208.00	10.31%
TOTAL PAID-UP P					2,629,943,439.00	100.00%

NOTE: USE ADDITIONAL SHEET IF NECESSARY

* Common, Preferred or other classification

** Other than Directors, Officers, Shareholders owning 10% of outstanding shares.

GENERAL INFORMATION SHEET

STOCK CORPORATION

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CORPORATE NAME: ROXAS AND COMPANY INC								
DIRECTORS / OFFICERS								
NAME/CURRENT RESIDENTIAL ADDRESS	NATIONALITY	INC'R	BOARD	GENDER	STOCK HOLDER	OFFICER	EXEC. COMM.	TAX IDENTIFICATION NUMBER
1. PEDRO O. ROXAS 6 Ipil Road, Forbes Park	FILIPINO	N	C	M	Y	Chairman	C/M, N/M	104-738-165
2. FERNANDO L. GASPAR 12B LA ISLA CONDO OPAL COR. RUBY ORTIGAS CTR. PASIG CITY	FILIPINO	N	M	M	Y	President and CEO	N/A	119-140-936
3. SANTIAGO R. ELIZALDE 1666 Dasmarinas Avenue, Dasmarinas Village, Makati	FILIPINO	N	M	M	Y	N/A	N/M	100-349-993
4. FRANCISCO JOSE R. ELIZALDE 1701 THE PEAK, 107 LEVISTE ST., SALCEDO VILLAGE, MAKATI CITY	FILIPINO	N	M	M	Y	N/A	A/M	119-132-731
5. GUILLERMO D. LUCHANGCO 1148 TAMARIND ROAD, DASMARINAS VILLAGE, MAKATI CITY	FILIPINO	N	I	M	Y	Lead Independent Director	C/C, N/C	106-185-062
6. CORAZON S. DE LA PAZ- BERNARDO 17A MCKINLEY ROAD, FORBES PARK, MAKATI CITY	FILIPINO	N	I	F	Y	N/A	C/M,A/C,	112-070-586
7. AURELIO R. MONTINOLA III 29 PILI AVE., SOUTH FORBES PARK, MAKATI CITY	FILIPINO	N	I	M	Y	N/A	A/M	135-558-086
8. PETER DONNELLY A. BAROT 104 H.V. Dela Costa St. Salcedo Village, Makati City	FILIPINO	N		M	N	Corporate Secretary	N/A	107-478-120
9. EDGAR P. ARCOS 42 Sampaguita St.,Tahanan Village, Paranaque City	FILIPINO	N		M	N	EVP-CFO,Treas.,Risk Mgt. Ofcr.	N/A	202-183-473
10. MONICA ISABELLE I. VILLANUEVA 15 Jose Abad Santos AHV, Quezon City	FILIPINO	N		F	N	Asst. Corp. Sec./CIO/CO/IRO	N/A	238-362-441
11. MARCELINO C. BUNDOC 276 San Jose Paombong Bulacan	FILIPINO	N		M	N	Alternate CO/Alternate CIO	N/A	150-117-548

INSTRUCTION:

FOR SEX COLUMN, PUT "F" FOR FEMALE, "M" FOR MALE.
 FOR BOARD COLUMN, PUT "C" FOR CHAIRMAN, "M" FOR MEMBER, "I" FOR INDEPENDENT DIRECTOR.
 FOR INC'R COLUMN, PUT "Y" IF AN INCORPORATOR, "N" IF NOT.
 FOR STOCKHOLDER COLUMN, PUT "Y" IF A STOCKHOLDER, "N" IF NOT.
 FOR OFFICER COLUMN, INDICATE PARTICULAR POSITION IF AN OFFICER, FROM VP UP INCLUDING THE POSITION OF THE TREASURER, SECRETARY, COMPLIANCE OFFICER AND/OR ASSOCIATED PERSON.
 FOR EXECUTIVE COMMITTEE, INDICATE "C" IF MEMBER OF THE COMPENSATION COMMITTEE; "A" FOR AUDIT COMMITTEE; "N" FOR NOMINATION AND ELECTION COMMITTEE. ADDITIONALLY WRITE "C" AFTER SLASH IF CHAIRMAN AND "M" IF MEMBER.

GENERAL INFORMATION SHEET
STOCK CORPORATION

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CORPORATE NAME: ROXAS AND COMPANY, INC.	
TOTAL NUMBER OF STOCKHOLDERS: 3,301	NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH: 3,301
TOTAL ASSETS BASED ON LATEST AUDITED FINANCIAL STATEMENTS: 9,152,348,226.00	

STOCKHOLDER'S INFORMATION

NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (PhP)	TAX IDENTIFICATION NUMBER
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNER-SHIP		
1. SPCI HOLDINGS INC FILIPINO Unit 1701, The Peak, 107 Levistre Street, Legaspi Village, Makati City	COMMON	692,779,593	692,779,593.00	26.34%	692,779,593.00	212-605-396
	TOTAL	692,779,593	692,779,593.00			
2. AMALGAMATED INVESTMENT BANCORPORATION FILIPINO 11th floor, Multinational Bancorp Center, 6805 Ayala Ave, Makati, 1200 Metro Manila	PREFERRED	500,000,000	500,000,000.00	19.01%	500,000,000.00	005-374-465-000
	TOTAL	500,000,000	500,000,000.00			
3. PCD NOMINEE CORPORATION FILIPINO 11th floor, Multinational Bancorp Center, 6805 Ayala Ave, Makati, 1200 Metro Manila	COMMON	346,643,060	344,643,060.00	13.18%	346,643,060.00	004-774-849
	TOTAL	346,643,060	344,643,060.00			
4. PESAN HOLDINGS, INC. FILIPINO 7F CG BLDG., 101 AGUIRRE ST., LEGASPI VILL. MAKATI	COMMON	326,921,001	326,921,001.00	12.43%	326,921,001.00	221-188-467
	TOTAL	326,921,001	326,921,001.00			
5. PCD NOMINEE CORPORATION NON-FILIPINO 37F Tower I, Enterprise Center, Ayala Avenue Makati City	COMMON	270,118,271	270,118,271.00	10.27%	270,118,271.00	004-774-849
	TOTAL	270,118,271	270,118,271.00			
6. CISCO HOLDINGS INC. FILIPINO Unit 1701, The Peak, 107 Levistre Street, Legaspi Village, Makati City	COMMON	112,500,000	112,500,000.00	4.28%	112,500,000.00	009-082-716
	TOTAL	112,500,000	112,500,000.00			
7. CRE HOLDINGS INC. FILIPINO Unit 1701, The Peak, 107 Levistre Street, Legaspi Village, Makati City	COMMON	112,500,000	112,500,000.00	4.28%	112,500,000.00	009-072-440
	TOTAL	112,500,000	112,500,000.00			
TOTAL AMOUNT OF SUBSCRIBED CAPITAL				89.79%	2,361,461,925.00	
TOTAL AMOUNT OF PAID-UP CAPITAL						

INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS

Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.

GENERAL INFORMATION SHEET
STOCK CORPORATION

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CORPORATE NAME:		ROXAS AND COMPANY, INC.				
TOTAL NUMBER OF STOCKHOLDERS:		3,301	NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:		3,301	
TOTAL ASSETS BASED ON LATEST AUDITED FS:		9,152,348,226.00				
STOCKHOLDER'S INFORMATION						
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (PhP)	TAX IDENTIFICATION NUMBER
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNERSHIP		
8. INIGO HOLDINGS INC. FILIPINO Unit 1701, The Peak, 107 Levistre Street, Legaspi Village, Makati City	COMMON	112,500,000	112,500,000.00	4.28%	112,500,000.00	009-082-724
	TOTAL	112,500,000	112,500,000.00			
9. SRE HOLDINGS INC. FILIPINO Unit 1701, The Peak, 107 Levistre Street, Legaspi Village, Makati City	COMMON	112,500,000	112,500,000.00	4.28%	112,500,000.00	004-774-849
	TOTAL	112,500,000	112,500,000.00			
10. PESAN HOLDINGS, INC. FILIPINO 7F CG BLDG., 101 AGUIRRE ST., LEGASPI VILL. MAKATI	COMMON	13,606,519	13,606,519.00	0.52%	13,606,519.00	221-188-467
	TOTAL	13,606,519	13,606,519.00			
11. RIZAL COMMERCIAL BANKING CORPORATION FILIPINO 333 Sen. Gil Puyat Ave. Makati City	COMMON	3,048,161	3,048,161.00	0.12%	3,048,161.00	049-000-599-760
	TOTAL	3,048,161	3,048,161.00			
12. ANTONIO ROXAS CHUA FILIPINO 6F LEGASPI TOWERS 200 PASEO DE ROXAS MAKATI CITY	COMMON	2,379,610	2,379,610.00	0.09%	2,379,610.00	NOT ON FILE
	TOTAL	2,379,610	2,379,610.00			
13. MARICARMEN R. DE ELIZALDE FILIPINO 7F CG BLDG., 101 AGUIRRE ST., LEGASPI VILLAGE, MAKATI CITY	COMMON	1,361,241	1,361,241.00	0.05%	1,361,241.00	134-210-848
	TOTAL	1,361,241	1,361,241.00			
14. SANTIAGO R. ELIZALDE FILIPINO 1666 Dasmariñas Ave., Dasmariñas Village, Makati City	COMMON	1,210,930	1,210,930.00	0.05%	1,210,930.00	100-349-993
	TOTAL	1,210,930	1,210,930.00			
TOTAL AMOUNT OF SUBSCRIBED CAPITAL				9.38%	246,606,461.00	
TOTAL AMOUNT OF PAID-UP CAPITAL						
INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS						
Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.						

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CORPORATE NAME:		ROXAS AND COMPANY, INC.				
TOTAL NUMBER OF STOCKHOLDERS:		3,301		NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:		
TOTAL ASSETS BASED ON LATEST AUDITED FS:		9,152,348,226.00				
STOCKHOLDER'S INFORMATION						
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (PhP)	TAX IDENTIFICATION NUMBER
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNERSHIP		
15. CARLOS ANTONIO R. DE ELIZALDE FILIPINO 1701 THE PEAK, 107 LEVISTE ST., SALCEDO VILLAGE, MAKATI CITY	COMMON	1,200,320	1,200,320.00	0.05%	1,200,320.00	119-814-785
	TOTAL	1,200,320	1,200,320.00			
16. FRANCISCO JOSE DE ELIZALDE, JR. FILIPINO 1701 THE PEAK, 107 LEVISTE ST., SALCEDO VILLAGE, MAKATI CITY	COMMON	1,200,320	1,200,320.00	0.05%	1,200,320.00	119-132-731
	TOTAL	1,200,320	1,200,320.00			
17. CENTRAL AZUCARERA DELA CARLOTA RETIREMENT TRUST FUND FILIPINO 6F CG BLDG., 101 AGUIRRE ST., LEGASPI VILLAGE, MAKATI CITY	COMMON	1,178,400	1,178,400.00	0.04%	1,178,400.00	246-316-829
	TOTAL	1,178,400	1,178,400.00			
18. PEDRO O. ROXAS FILIPINO 6 IPIL ROAD, FORBES PARK	COMMON	937,892	937,892.00	0.04%	937,892.00	104-738-165
	TOTAL	937,892	937,892.00			
19. EQUITABLE SEC. FAO INIGO R. DE ELIZALDE FILIPINO 6F LEGASPI TOWERS 200 PASEO DE ROXAS MAKATI CITY	COMMON	933,810	933,810.00	0.04%	933,810.00	NOT ON FILE
	TOTAL	933,810	933,810.00			
20. SEVERO A. TUASON & CO., INC. FILIPINO 14-B CORPORATE, AND AVENUE ORTIGAS AVENUE, PASIG CITY	COMMON	537,000	537,000.00	0.02%	537,000.00	124-139-087
	TOTAL	537,000	537,000.00			
21. OTHERS (Indicate the number of the remaining stockholders) 3,281 STOCKHOLDERS	COMMON	15,887,311	15,887,311.00	0.60%	15,887,311.00	
	TOTAL	15,887,311	15,887,311.00			
TOTAL AMOUNT OF SUBSCRIBED CAPITAL				0.83%	21,875,053.00	
TOTAL AMOUNT OF PAID-UP CAPITAL						
INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS						
Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.						

GENERAL INFORMATION SHEET
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====			
CORPORATE NAME: ROXAS AND COMPANY, INC.			
1. INVESTMENT OF CORPORATE FUNDS IN ANOTHER CORPORATION	AMOUNT (PhP)	DATE OF BOARD RESOLUTION	
1.1 STOCKS			
1.2 BONDS/COMMERCIAL PAPER (Issued by Private Corporations)			
1.3 LOANS/ CREDITS/ ADVANCES			
1.4 GOVERNMENT TREASURY BILLS			
1.5 OTHERS			
2. INVESTMENT OF CORPORATE FUNDS IN ACTIVITIES UNDER ITS SECONDARY PURPOSES (PLEASE SPECIFY:)	DATE OF BOARD RESOLUTION	DATE OF STOCKHOLDERS RATIFICATION	
3. TREASURY SHARES	NO. OF SHARES	% AS TO THE TOTAL NO. OF SHARES ISSUED	
	781,942,431	23.17%	
4. UNRESTRICTED/UNAPPROPRIATED RETAINED EARNINGS AS OF END OF LAST FISCAL YEAR			
5. DIVIDENDS DECLARED DURING THE IMMEDIATELY PRECEDING YEAR:			
TYPE OF DIVIDEND	AMOUNT (PhP)	DATE DECLARED	
5.1 CASH (PREFERRED SHARES)	10,000,000.00	08/08/2019	
5.2 CASH (PREFERRED SHARES)	10,000,000.00	11/06/2019	
TOTAL	P		
6. ADDITIONAL SHARES ISSUED DURING THE PERIOD:			
DATE	NO. OF SHARES	AMOUNT	
SECONDARY LICENSE/REGISTRATION WITH SEC AND OTHER GOV'T AGENCY:			
NAME OF AGENCY:	SEC	B S P	I C
TYPE OF LICENSE/REGN.	Permit to Sell Securities		
DATE ISSUED:	03/16/1994		
DATE STARTED OPERATIONS:	10/17/1918		
TOTAL ANNUAL COMPENSATION OF DIRECTORS DURING THE PRECEDING FISCAL YEAR (in PhP)	TOTAL NO. OF OFFICERS	TOTAL NO. OF RANK & FILE EMPLOYEES	TOTAL MANPOWER COMPLEMENT
	5		

NOTE: USE ADDITIONAL SHEET IF NECESSARY

I, MONICA ISABELLE I. VILLANUEVA, Assistant Corporate Secretary of ROXAS AND COMPANY INC. declare under penalty of perjury that all matters set forth in this GIS have been made in good faith, duly verified by me and to the best of my knowledge and belief are true and correct.

I hereby attest that all the information in this GIS are being submitted in compliance with the rules and regulations of the Securities and Exchange Commission (SEC) the collection, processing, storage and sharing of said information being necessary to carry out the functions of public authority for the performance of the constitutionally and statutorily mandated functions of the SEC as a regulatory agency.

I further attest that I have been authorized by the Board of Directors/Trustees to file this GIS with the SEC.

I understand that the Commission may place the corporation under delinquent status for failure to submit the reportorial requirements three (3) times, consecutively or intermittently, within a period of five (5) years (Section 177, RA No. 11232).

Done this _____ day of AUG 28 2020, 20____ in MAKATI CITY.

MONICA ISABELLE I. VILLANUEVA

MAKATI CITY

AUG 28 2020

SUBSCRIBED AND SWORN TO before me in _____ on _____ by affiant who personally appeared before me and exhibited to me her competent evidence of identity consisting of IBP Roll of Atty. No. 57364 issued at Makati City.

Doc. No. 214
Page No. 44
Book No. 184
Series of 2020

ATTY. JOHN DOMINGO A. POWCE, JR.
NOTARY PUBLIC
APPOINTMENT NO. 2024 / MAKATI CITY
UNIT: 08/01/2020
PTR NO. 4117226 / 01-0-0000 / MAKATI CITY
IBP No. 150163 / 01-0-0000 / BZAL
MCLC COMPLIANCE No. Y1-0077026 / 00-20-2019
RGLL NO. 30402 / TIN No. 100-000-102-000
Suite 0-14 Makati Executive Tower 3
Sec. 011 Puyat Avenue, P.O. del Pilar,
Makati City, Metro Manila

BENEFICIAL OWNERSHIP DECLARATION

SEC REGISTRATION NUMBER:

PW-00000834

CORPORATE NAME:

ROXAS AND COMPANY, INC.

Instructions:

1. Identify the Beneficial Owner/s of the corporation as described in the Categories of Beneficial Ownership in items A to I below. List down as many as you can identify. You may use an additional sheet if necessary.
2. Fill in the required information on the beneficial owner in the fields provided for.
3. In the "Category of Beneficial Ownership" column, indicate the letter(s) corresponding thereto. In the event that the person identified as beneficial owner falls under several categories, indicate all the letters corresponding to such categories.
4. If the category is under letter "I", indicate the position held (i.e., Director/Trustee, President, Chief Executive Officer, Chief Operating Officer, Chief Financial Officer, etc.).
5. Do not leave any item blank. Write "N/A" if the information required is not applicable or "NONE" if non-existent.

"Beneficial Owner" refers to any natural person(s) who ultimately own(s) or control(s) or exercise(s) ultimate effective control over the corporation. This definition covers the natural person(s) who actually own or control the corporation as distinguished from the legal owners. Such beneficial ownership may be determined on the basis of the following:

Category

Description

- A** Natural person(s) owning, directly or indirectly or through a chain of ownership, at least twenty-five percent (25%) of the voting rights, voting shares or capital of the reporting corporation.
- B** Natural person(s) who exercise control over the reporting corporation, alone or together with others, through any contract, understanding, relationship, intermediary or tiered entity.
- C** Natural person(s) having the ability to elect a majority of the board of directors/trustees, or any similar body, of the corporation.
- D** Natural person(s) having the ability to exert a dominant influence over the management or policies of the corporation.
- E** Natural person(s) whose directions, instructions, or wishes in conducting the affairs of the corporation are carried out by majority of the members of the board of directors of such corporation who are accustomed or under an obligation to act in accordance with such person's directions, instructions or wishes.
- F** Natural person(s) acting as stewards of the properties of corporations, where such properties are under the care or administration of said natural person(s).
- G** Natural person(s) who actually own or control the reporting corporation through nominee shareholders or nominee directors acting for or on behalf of such natural persons.
- H** Natural person(s) ultimately owning or controlling or exercising ultimate effective control over the corporation through other means not falling under any of the foregoing categories.
- I** Natural person(s) exercising control through positions held within a corporation (i.e., responsible for strategic decisions that fundamentally affect the business practices or general direction of the corporation such as the members of the board of directors or trustees or similar body within the corporation; or exercising executive control over the daily or regular affairs of the corporation through a senior management position). This category is only applicable in exceptional cases where no natural person is identifiable who ultimately owns or exerts control over the corporation, the reporting corporation having exhausted all reasonable means of identification and provided there are no grounds for suspicion.

COMPLETE NAME (Surname, Given Name, Middle Name, Name Extension (i.e., Jr., Sr., III))	SPECIFIC RESIDENTIAL ADDRESS	NATIONALITY	DATE OF BIRTH	TAX IDENTIFICATION NO.	% OF OWNERSHIP ¹ / % OF VOTING RIGHTS ²	TYPE OF BENEFICIAL OWNER ³ Direct (D) or Indirect (I)	CATEGORY OF BENEFICIAL OWNERSHIP
PEDRO O. ROXAS	6 Ipil Road, Forbes Park	Filipino	19-Mar-56	104-738-165	10.44%	D	B
SANTIAGO R. ELIZALDE	1666 Dasmariñas Ave., Dasmariñas Village, Makati City	Filipino	30-Sep-64	100-349-993	0.05%	I	B

Note: This page is not for uploading on the SEC iView.

¹ For Stock Corporations.

² For Non-Stock Corporations.

³ For Stock Corporations.



Len Navarro <mltnavarro@roxascompany.com.ph>

Acknowledgement Notice Re: ROXAS AND COMPANY, INC._2020 GENERAL INFORMATION SHEET_28 AUGUST 2020

Ma. Lorelei M. Liwanag <mlmliwanag@sec.gov.ph>
To: mltnavarro@roxascompany.com.ph

Fri, Aug 28, 2020 at 4:33 PM

Dear Customer,

SUCCESSFULLY ACCEPTED
(subject to verification and review of form and content of the attached document)

This serves as acknowledgement confirmation. Please print and submit together with the hard copies of the document.

Note: If the email is received on a Saturday, Sunday or holiday (non-working day) the receipt date shall be on the next working day.

Thank you.
SEC ICTD



Len Navarro <mltnavarro@roxascompany.com.ph>

Acknowledgement Notice Re: ROXAS AND COMPANY, INC._2020 GENERAL INFORMATION SHEET_28 AUGUST 2020

Maria Theresa D. Mabuyo <mtdmabuyo@sec.gov.ph>
To: mltnavarro@roxascompany.com.ph

Fri, Aug 28, 2020 at 4:33 PM

Dear Customer,

SUCCESSFULLY ACCEPTED
(subject to verification and review of form and content of the attached document)

This serves as acknowledgement confirmation. Please print and submit together with the hard copies of the document.

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