



107312019005881

**SECURITIES AND EXCHANGE COMMISSION**

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Company Information

SEC Registration No. PW00000834

Company Name ROXAS AND COMPANY, INC.

Industry Classification

Company Type Stock Corporation

Document Information

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Document Type GENERAL INFORMATION SHEET

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Remarks

GENERAL INFORMATION SHEET (GIS)

FOR THE YEAR 2019

STOCK CORPORATION

GENERAL INSTRUCTIONS:

1. FOR USER CORPORATION: THIS GIS SHOULD BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS FROM THE DATE OF THE ANNUAL STOCKHOLDERS' MEETING. **DO NOT LEAVE ANY ITEM BLANK.** WRITE "N.A." IF THE INFORMATION REQUIRED IS NOT APPLICABLE TO THE CORPORATION OR "NONE" IF THE INFORMATION IS NON-EXISTENT. IF THE ANNUAL STOCKHOLDERS' MEETING IS HELD ON A DATE OTHER THAN THAT STATED IN THE BY-LAWS, THE GIS SHALL BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS FROM THE ACTUAL DATE OF THE ANNUAL STOCKHOLDERS' MEETING.
2. IF NO MEETING IS HELD, THE CORPORATION SHALL SUBMIT THE GIS NOT LATER THAN JANUARY 30 OF THE FOLLOWING YEAR. HOWEVER, SHOULD AN ANNUAL STOCKHOLDERS' MEETING BE HELD THEREAFTER, A NEW GIS SHALL BE SUBMITTED/FILED.
3. THIS GIS SHALL BE ACCOMPLISHED IN ENGLISH AND CERTIFIED AND SWORN TO BY THE CORPORATE SECRETARY OF THE CORPORATION.
4. THE SEC SHOULD BE TIMELY APPRISED OF RELEVANT CHANGES IN THE SUBMITTED INFORMATION AS THEY ARISE. FOR CHANGES RESULTING FROM ACTIONS THAT AROSE BETWEEN THE ANNUAL MEETINGS, THE CORPORATION SHALL SUBMIT ONLY THE AFFECTED PAGE OF THE GIS THAT RELATES TO THE NEW INFORMATION TOGETHER WITH A COVER LETTER SIGNED BY THE CORPORATE SECRETARY OF THE CORPORATION. THE PAGE OF THE GIS AND COVER LETTER SHALL BE SUBMITTED WITHIN SEVEN (7) DAYS AFTER SUCH CHANGE OCCURRED OR BECAME EFFECTIVE.
5. SUBMIT FOUR (4) COPIES OF THE GIS TO THE CENTRAL RECEIVING SECTION, GROUND FLOOR, SEC BLDG., EDSA, MANDALUYONG CITY. ALL COPIES SHALL UNIFORMLY BE ON A4 OR LETTER-SIZED PAPER WITH A STANDARD COVER PAGE. THE PAGES OF ALL COPIES SHALL USE ONLY ONE SIDE. CORPORATIONS SUBMITTING A COPY OF THEIR GIS ONLINE OR VIA INTERNET SHALL SUBMIT ONE (1) HARD COPY OF THE GIS, TOGETHER WITH A CERTIFICATION UNDER OATH BY ITS CORPORATE SECRETARY THAT THE COPY SUBMITTED ONLINE CONTAINS THE EXACT DATA IN THE HARD COPY.
6. **ONLY THE GIS ACCOMPLISHED IN ACCORDANCE WITH THESE INSTRUCTIONS SHALL BE CONSIDERED AS HAVING BEEN FILED.**
7. THIS GIS MAY BE USED AS EVIDENCE AGAINST THE CORPORATION AND ITS RESPONSIBLE DIRECTORS/OFFICERS FOR ANY VIOLATION OF EXISTING LAWS, RULES AND REGULATIONS

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME: ROXAS AND COMPANY, INC. (formerly: CADP Group Corporation)		DATE REGISTERED: 07 October, 1918
BUSINESS/TRADE NAME: ROXAS AND COMPANY INC.		FISCAL YEAR END: December 31
SEC REGISTRATION NUMBER: PW-00000834		CORPORATE TAX IDENTIFICATION NUMBER (TIN) 000-269-435-000
DATE OF ANNUAL MEETING PER BY-LAW: 4th Wednesday of May		WEBSITE/URL ADDRESS: www.roxascompany.com.ph
ACTUAL DATE OF ANNUAL MEETING: 29-May-19		E-MAIL ADDRESS:
COMPLETE PRINCIPAL OFFICE ADDRESS: 7TH FLOOR CACHO-GONZALES BLDG. 101 AGUIRRE ST., LEGASPI VILLAGE, MAKATI CITY		FAX NUMBER: NONE
COMPLETE BUSINESS ADDRESS: 7TH FLOOR CACHO-GONZALES BLDG. 101 AGUIRRE ST., LEGASPI VILLAGE, MAKATI CITY		TELEPHONE NUMBER(S): (02) 810-8901 TO 06
NAME OF EXTERNAL AUDITOR & ITS SIGNING PARTNER: SGV & Co.		SEC ACCREDITATION NUMBER (if applicable): 0012-FR-4
PRIMARY PURPOSE/ACTIVITY/INDUSTRY PRESENTLY ENGAGED IN: HOLDING AND INVESTMENT COMPANY		INDUSTRY CLASSIFICATION: NONE
GEOGRAPHICAL CODE: NONE		

===== INTERCOMPANY AFFILIATIONS =====

PARENT COMPANY	SEC REGISTRATION NO.	ADDRESS
N/A		
SUBSIDIARY/AFFILIATE	SEC REGISTRATION NO.	ADDRESS
ROXACO LAND CORPORATION	150570	Nasugbu, Batangas, Philippines
ROXAS GREEN ENERGY CORPORATION	CS201509364	7th Floor CG Building, 101 Aguirre Street, Legaspi Village, Makati City
ROXAS SIGMA AGRIVENTURES, INC.	CS201502789	Purok 10, Poblacion, Tupi, South Cotabato
ROXAS HOLDINGS, INC.	PW - 15	14th Floor, Net One Center, 3rd Avenue corner 26th Street, Bonifacio Global City, Taguig
UNITED VENTURES CORPORATION	48146	7th Floor CG Building, 101 Aguirre Street, Legaspi Village, Makati City

NOTE: USE ADDITIONAL SHEET IF NECESSARY

GENERAL INFORMATION SHEET

STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

Corporate Name:

ROXAS AND COMPANY INC

A. Is the Corporation a covered person under the Anti Money Laundering Act (AMLA), as amended? (Rep. Acts. 9160/9164/10167/10365)

☐ Yes☒ No

Please check the appropriate box:

1.

- ☐ a. Banks
- ☐ b. Offshore Banking Units
- ☐ c. Quasi-Banks
- ☐ d. Trust Entities
- ☐ e. Non-Stock Savings and Loan Associations
- ☐ f. Pawnshops
- ☐ g. Foreign Exchange Dealers
- ☐ h. Money Changers
- ☐ i. Remittance Agents
- ☐ j. Electronic Money Issuers
- ☐ k. Financial Institutions which Under Special Laws are subject to Bangko Sentral ng Pilipinas' (BSP) supervision and/or regulation, including their subsidiaries and affiliates.

4. ☐

Jewelry dealers in precious metals, who, as a business, trade in precious metals

5. ☐

Jewelry dealers in precious stones, who, as a business, trade in precious stone

6.

Company service providers which, as a business, provide any of the following services to third parties:

2.

- ☐ a. Insurance Companies
- ☐ b. Insurance Agents
- ☐ c. Insurance Brokers
- ☐ d. Professional Reinsurers
- ☐ e. Reinsurance Brokers
- ☐ f. Holding Companies
- ☐ g. Holding Company Systems
- ☐ h. Pre-need Companies
- ☐ i. Mutual Benefit Association
- ☐ j. All Other Persons and entities supervised and/or regulated by the Insurance Commission (IC)

☐ a.

acting as a formation agent of juridical persons

☐ b.

acting as (or arranging for another person to act as) a director or corporate secretary of a company, a partner of a partnership, or a similar position in relation to other juridical persons

☐ c.

providing a registered office, business address or accommodation, correspondence or administrative address for a company, a partnership or any other legal person or arrangement

☐ d.

acting as (or arranging for another person to act as) a nominee shareholder for another person

3.

- ☐ a. Securities Dealers
- ☐ b. Securities Brokers
- ☐ c. Securities Salesman
- ☐ d. Investment Houses
- ☐ e. Investment Agents and Consultants
- ☐ f. Trading Advisors
- ☐ g. Other entities managing Securities or rendering similar services
- ☐ h. Mutual Funds or Open-end Investment Companies
- ☐ i. Close-end Investment Companies
- ☐ j. Common Trust Funds or Issuers and other similar entities
- ☐ k. Transfer Companies and other similar entities
- ☐ l. Other entities administering or otherwise dealing in currency, commodities or financial derivatives based there on
- ☐ m. Entities administering or otherwise dealing in valuable objects
- ☐ n. Entities administering or otherwise dealing in cash Substitutes and other similar monetary instruments or property supervised and/or regulated by the Securities and Exchange Commission (SEC)

7.

Persons who provide any of the following services:

☐ a.

managing of client money, securities or other assets

☐ b.

management of bank, savings or securities accounts

☐ c.

organization of contributions for the creation, operation or management of companies

☐ d.

creation, operation or management of juridical persons or arrangements, and buying and selling business entities

8. ☒

None of the above

Describe
nature of
business:

HOLDING AND INVESTMENT COMPANY

B. Has the Corporation complied with the requirements on Customer Due Diligence (CDD) or Know Your Customer (KYC), record-keeping, and submission of reports under the AMLA, as amended, since the last filing of its GIS?

☐ Yes☒ No

GENERAL INFORMATION SHEET

STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME: ROXAS AND COMPANY, INC.							
CAPITAL STRUCTURE							
AUTHORIZED CAPITAL STOCK							
	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP) (No. of shares X Par/Stated Value)			
	COMMON	3,375,000,000	1.00	3,375,000,000.00			
	PREFERRED	1,000,000,000	1.00	1,000,000,000.00			
TOTAL		4,375,000,000	TOTAL P	4,375,000,000.00			
SUBSCRIBED CAPITAL							
FILIPINO	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
		COMMON	2,692,600,089	767,811,477	1.00	2,692,600,089.00	77.74%
		PREFERRED	500,000,000		1.00	500,000,000.00	14.69%
TOTAL		3,192,600,089	TOTAL	TOTAL P	3,192,600,089.00		
FOREIGN (INDICATE BY NATIONALITY)	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
		COMMON	259,296,781	259,296,781	1.00	259,296,781.00	7.60%
Percentage of Foreign Equity :		TOTAL	259,296,781	TOTAL	TOTAL P	259,296,781.00	
TOTAL SUBSCRIBED					P	3,451,896,870.00	
PAID-UP CAPITAL							
FILIPINO	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP	
		COMMON	2,692,600,089	1.00	2,692,600,089.00	77.74%	
		PREFERRED	500,000,000	1.00	500,000,000.00	14.69%	
TOTAL		3,192,600,089	TOTAL	P	3,192,600,089.00		
FOREIGN (INDICATE BY NATIONALITY)	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP	
		COMMON	259,296,781	259,296,781 1.00	259,296,781.00	7.60%	
7.51 %		TOTAL	259,296,781	TOTAL	P	259,296,781.00	
TOTAL PAID-UP				P	3,451,896,870.00		
NOTE: USE ADDITIONAL SHEET IF NECESSARY							
* Common, Preferred or other classification							
** Other than Directors, Officers, Shareholders owning 10% of outstanding shares.							

GENERAL INFORMATION SHEET

STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME:		ROXAS AND COMPANY INC						
DIRECTORS / OFFICERS								
NAME/CURRENT RESIDENTIAL ADDRESS	NATIONALITY	INC'R	BOARD	GENDER	STOCK HOLDER	OFFICER	EXEC. COMM.	TAX IDENTIFICATION NUMBER
1. PEDRO E. ROXAS 6 Ipil Road, Forbes Park	FILIPINO	N	C	M	Y	Chairman	C/C, N/M	104-738-165
2. FERNANDO L. GASPAR 12B LA ISLA CONDO OPAL COR. RUBY ORTIGAS CTR. PASIG CITY	FILIPINO	N	M	M	Y	President and CEO	N/A	119-140-936
3. CARLOS ANTONIO R. ELIZALDE 1701 THE PEAK, 107 LEVISTE ST., SALCEDO VILLAGE, MAKATI CITY	FILIPINO	N	M	M	Y	N/A	N/A	119-814-785
4. FRANCISCO JOSE R. ELIZALDE 1701 THE PEAK, 107 LEVISTE ST., SALCEDO VILLAGE, MAKATI CITY	FILIPINO	N	M	M	Y	N/A	A/M	119-132-731
5. GUILLERMO D. LUCHANGCO 1148 TAMARIND ROAD, DASMARINAS VILLAGE, MAKATI CITY	FILIPINO	N	I	M	Y	N/A	C/M, N/M	106-185-062
6. CORAZON S. DE LA PAZ-BERNARDO 17A MCKINLEY ROAD, FORBES PARK, MAKATI CITY	FILIPINO	N	I	F	Y	N/A	C/M,A/ C,	112-070-586
7. AURELIO R. MONTINOLA III 29 PILI AVE., SOUTH FORBES PARK, MAKATI CITY	FILIPINO	N	I	M	Y	N/A	A/M	135-558-086
8. PETER DONNELLY A. BAROT 104 H.V. Dela Costa St. Salcedo Village, Makati City	FILIPINO	N	N/A	M	N	CORP. SEC.	N/A	107-478-120
9. ARMANDO B. ESCOBAR 12 Nova Scotia, Loyola Grand Villas, Quezon City	FILIPINO	N	N/A	M	N	EVP-CFO/ Treas./ Risk Mgt. Offcr	N/A	109-203-427
10. MONICA ISABELLE I. VILLANUEVA 15 Jose Abad Santos AHV, Quezon City	FILIPINO	N	N/A	F	N	Asst. Corp. Sec./CIO/ CO	N/A	238-362-441
NOTHING FOLLOWS								

INSTRUCTION:

FOR SEX COLUMN, PUT "F" FOR FEMALE, "M" FOR MALE.

FOR BOARD COLUMN, PUT "C" FOR CHAIRMAN, "M" FOR MEMBER, "I" FOR INDEPENDENT DIRECTOR.

FOR INC'R COLUMN, PUT "Y" IF AN INCORPORATOR, "N" IF NOT.

FOR STOCKHOLDER COLUMN, PUT "Y" IF A STOCKHOLDER, "N" IF NOT.

FOR OFFICER COLUMN, INDICATE PARTICULAR POSITION IF AN OFFICER, FROM VP UP INCLUDING THE POSITION OF THE TREASURER, SECRETARY, COMPLIANCE OFFICER AND/OR ASSOCIATED PERSON.

FOR EXECUTIVE COMMITTEE, INDICATE "C" IF MEMBER OF THE COMPENSATION COMMITTEE; "A" FOR AUDIT COMMITTEE; "N" FOR NOMINATION AND ELECTION COMMITTEE. ADDITIONALLY WRITE "C" AFTER SLASH IF CHAIRMAN AND "M" IF MEMBER.

GENERAL INFORMATION SHEET
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====						
CORPORATE NAME:		ROXAS AND COMPANY, INC.				
TOTAL NUMBER OF STOCKHOLDERS:		3,307		NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:		3,307
TOTAL ASSETS BASED ON LATEST AUDITED FINANCIAL STATEMENTS:				8,303,979,844.00		
STOCKHOLDER'S INFORMATION						
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (PhP)	TAX IDENTIFICATION NUMBER
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNER-SHIP		
1 PCD Nominee Corporation Filipino 37F Tower I, Enterprise Center, Ayala Avenue Makati City	C	1,140,348,613	1,140,348,613	33.04%	1,140,348,613.00	004-774-849
	TOTAL	1,140,348,613	1,140,348,613.00			
2. SPCI HOLDINGS INC Filipino Unit 1701, The Peak, 107 Levistre Street, Legaspi Village, Makati City	C	692,779,593	692,779,593	20.07%	692,779,593.00	004-774-849
	TOTAL	692,779,593	692,779,593.00			
3. AMALGAMATED INVESTMENT BANCORPORATION Filipino 11th floor, Multinational Bancorp Center, 6805 Ayala Ave, Makati, 1200 Metro Manila	Preferred	500,000,000	500,000,000	14.48%	500,000,000.00	005-374-465-000
	TOTAL	500,000,000	500,000,000.00			
4. PESAN HOLDINGS INC. Filipino 7F CG BLDG., 101 AGUIRRE ST., LEGASPI VILL. MAKATI	C	326,921,001	326,921,001	9.47%	326,921,001.00	221-188-467
	TOTAL	326,921,001	326,921,001.00			
5. PCD Nominee Corporation Non-Filipino 37F Tower I, Enterprise Center, Ayala Avenue Makati City	C	258,337,844	258,337,844	7.48%	258,337,844.00	004-774-849
	TOTAL	258,337,844	258,337,844.00			
6. CISCO HOLDINGS INC Filipino Unit 1701, The Peak, 107 Levistre Street, Legaspi Village, Makati City	C	112,500,000	112,500,000	3.26%	112,500,000.00	009-082-716
	TOTAL	112,500,000	112,500,000.00			
7. CRE HOLDINGS INC Filipino Unit 1701, The Peak, 107 Levistre Street, Legaspi Village, Makati City	C	112,500,000	112,500,000	3.26%	112,500,000.00	009-072-440
	TOTAL	112,500,000	112,500,000.00			
TOTAL AMOUNT OF SUBSCRIBED CAPITAL				91.06%	3,143,387,051.00	
TOTAL AMOUNT OF PAID-UP CAPITAL						

INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS

Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.

GENERAL INFORMATION SHEET
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME: ROXAS AND COMPANY, INC.						
TOTAL NUMBER OF STOCKHOLDERS: 3,307			NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH: 3,307			
TOTAL ASSETS BASED ON LATEST AUDITED FINANCIAL STATEMENTS: 8,303,979,844.00						
STOCKHOLDER'S INFORMATION						
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (Php)	TAX IDENTIFICATION NUMBER
	TYPE	NUMBER	AMOUNT (Php)	% OF OWNER- SHIP		
8. INIGO HOLDINGS INC FILIPINO Unit 1701, The Peak, 107 Levistre Street, Legaspi Village, Makati City	C	112,500,000	112,500,000.00	3.26%	112,500,000.00	009-082-724
	TOTAL	112,500,000	112,500,000.00			
9. SRE HOLDINGS INC FILIPINO Unit 1701, The Peak, 107 Levistre Street, Legaspi Village, Makati City	C	112,500,000	112,500,000	3.26%	112,500,000.00	004-774-849
	TOTAL	112,500,000	112,500,000.00			
10. PESAN HOLDINGS, INC. FILIPINO 7F CG BLDG., 101 AGUIRRE ST., LEGASPI VILL. MAKATI	C	13,606,519	13,606,519.00	0.39%	13,606,519.00	221-188-467
	TOTAL	13,606,519	13,606,519.00			
11. RIZAL COMMERCIAL BANKING CORPORATION FILIPINO 333 Sen. Gil Puyat Ave. Makati City	C	3,048,161	3,048,161.00	0.09%	3,048,161.00	049-000-599-760
	TOTAL	3,048,161	3,048,161.00			
12. ANTONIO ROXAS CHUA FILIPINO 6F LEGASPI TOWERS 200 PASEO DE ROXAS MAKATI CITY	C	2,379,610	2,379,610.00	0.069%	2,379,610.00	NOT ON FILE
	TOTAL	2,379,610	2,379,610.00			
13. MARICARMEN R. DE ELIZALDE FILIPINO 7F CG BLDG., 101 AGUIRRE ST., LEGASPI VILLAGE, MAKATI CITY	C	1,361,241	1,361,241.00	0.04%	1,361,241.00	134-210-848
	TOTAL	1,361,241	1,361,241.00			
14. SANTIAGO R. ELIZALDE FILIPINO 1666 Dasmarinas Avenue, Dasmarinas Village, Makati City	C	1,210,930	1,210,930.00	0.04%	1,210,930.00	100-349-993
	TOTAL	1,210,930	1,210,930.00			
TOTAL AMOUNT OF SUBSCRIBED CAPITAL				7.14%	246,606,461.00	
TOTAL AMOUNT OF PAID-UP CAPITAL						
INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS						
<i>Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.</i>						

GENERAL INFORMATION SHEET
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME: ROXAS AND COMPANY, INC.						
TOTAL NUMBER OF STOCKHOLDERS: 3,307			NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH: 3,307			
TOTAL ASSETS BASED ON LATEST AUDITED FINANCIAL STATEMENTS:			8,303,979,844.00			
STOCKHOLDER'S INFORMATION						
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (PhP)	TAX IDENTIFICATION NUMBER
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNER-SHIP		
15. CARLOS ANTONIO R. ELIZALDE FILIPINO 1701 THE PEAK, 107 LEVISTE ST., SALCEDO VILLAGE, MAKATI CITY	C	1,200,320	1,200,320.00	0.03%	1,200,320.00	119-814-785
	TOTAL	1,200,320	1,200,320.00			
16. FRANCISCO JOSE DE ELIZALDE JR. FILIPINO 1701 THE PEAK, 107 LEVISTE ST., SALCEDO VILLAGE, MAKATI CITY	C	1,200,320	1,200,320.00	0.03%	1,200,320.00	119-132-731
	TOTAL	1,200,320	1,200,320.00			
17. CENTRAL AZUCARERA DELA CARLOTA RETIREMENT TRUST FUND FILIPINO 6F CG BLDG., 101 AGUIRRE ST., LEGASPI VILLAGE, MAKATI CITY	C	1,178,400	1,178,400.00	0.03%	1,178,400.00	246-316-829
	TOTAL	1,178,400	1,178,400.00			
18. PEDRO E. ROXAS FILIPINO 6 IPIL ROAD, FORBES PARK	C	937,892	937,892.00	0.03%	937,892.00	104-738-165
	TOTAL	937,892	937,892.00			
19. EQUITABLE SEC. FAO INIGO R. DE ELIZALDE FILIPINO 6F LEGASPI TOWERS 200 PASEO DE ROXAS MAKATI CITY	C	933,810	933,810.00	0.03%	933,810.00	NOT ON FILE
	TOTAL	933,810	933,810.00			
20. SEVERO A. TUASON & CO INC. FILIPINO 14-B CORPORATE, AND AVENUE ORTIGAS AVENUE, PASIG CITY	C	537,000	537,000.00	0.02%	537,000.00	124-139-087
	TOTAL	537,000	537,000.00			
21. OTHERS (Indicate the number of the remaining stockholders)	C	55,945,616	55,945,616.00	1.62%	55,945,616.00	
	TOTAL	55,945,616	55,945,616.00			
TOTAL AMOUNT OF SUBSCRIBED CAPITAL				1.79%	61,933,358.00	
TOTAL AMOUNT OF PAID-UP CAPITAL						
INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS						
Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.						

GENERAL INFORMATION SHEET
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====			
CORPORATE NAME: ROXAS AND COMPANY INC			
1. INVESTMENT OF CORPORATE FUNDS IN ANOTHER CORPORATION	AMOUNT (Php)	DATE OF BOARD RESOLUTION	
1.1 STOCKS			
1.2 BONDS/COMMERCIAL PAPER (Issued by Private Corporations)			
1.3 LOANS/ CREDITS/ ADVANCES			
1.4 GOVERNMENT TREASURY BILLS			
1.5 OTHERS			
2. INVESTMENT OF CORPORATE FUNDS IN ACTIVITIES UNDER ITS SECONDARY PURPOSES (PLEASE SPECIFY:)	DATE OF BOARD RESOLUTION	DATE OF STOCKHOLDERS RATIFICATION	
3. TREASURY SHARES	NO. OF SHARES	% AS TO THE TOTAL NO. OF SHARES ISSUED	
	903,906,774	26%	
4. UNRESTRICTED/UNAPPROPRIATED RETAINED EARNINGS AS OF END OF LAST FISCAL YEAR			
5. DIVIDENDS DECLARED DURING THE IMMEDIATELY PRECEDING YEAR:			
TYPE OF DIVIDEND	AMOUNT (Php)	DATE DECLARED	
5.1 CASH			
5.2 STOCK			
5.3 PROPERTY			
TOTAL	P		
6. ADDITIONAL SHARES ISSUED DURING THE PERIOD:			
DATE	NO. OF SHARES	AMOUNT	
SECONDARY LICENSE/REGISTRATION WITH SEC AND OTHER GOV'T AGENCY:			
NAME OF AGENCY:	SEC	B S P	I C
TYPE OF LICENSE/REGN.	Permit to Sell Securities		
DATE ISSUED:	3/16/1994		
DATE STARTED OPERATIONS:	10/17/1918		
TOTAL ANNUAL COMPENSATION OF DIRECTORS DURING THE PRECEDING FISCAL YEAR (in Php)	TOTAL NO. OF OFFICERS	TOTAL NO. OF RANK & FILE EMPLOYEES	TOTAL MANPOWER COMPLEMENT
	5		

NOTE: USE ADDITIONAL SHEET IF NECESSARY

BENEFICIAL OWNERSHIP DECLARATION					
A. Natural person who ultimately owns or controls the corporation:					
COMPLETE NAME (Surname, Given Name, Middle Name, Name Extension [i.e., Jr., Sr., III])	SPECIFIC RESIDENTIAL ADDRESS	NATIONALITY	% OF OWNERSHIP	TAX IDENTIFICATION NO.	
B. Natural person who exercises ultimate effective control[1] over the corporation:					
COMPLETE NAME (Surname, Given Name, Middle Name, Name Extension [i.e., Jr., Sr., III])	SPECIFIC RESIDENTIAL ADDRESS	NATIONALITY	% OF OWNERSHIP	TAX IDENTIFICATION NO.	
PEDRO E. ROXAS	6 Ipil Road, Forbes Park	FILIPINO	10.44	104-738-165	
CORPORATE STOCKHOLDERS:[2]					
ULTIMATE BENEFICIAL OWNER (3)					
CORPORATE NAME AND BUSINESS/TRADE NAME	COMPLETE NAME (Surname, Given Name, Middle Name, Name Extension[i.e., Jr., Sr., III])	SPECIFIC RESIDENTIAL ADDRESS	NATIONALITY	% OF OWNERSHIP	TAX IDENTIFICATION NO.
SPCI HOLDINGS INC	Santiago R. Elizalde	1666 Dasmarinas Avenue, Dasmarinas Village, Makati City	FILIPINO	20.07%	100-349-993
OTHER STOCKHOLDERS:					
A. PARTNERSHIPS					
ULTIMATE BENEFICIAL OWNER[4]					
PARTNERSHIP NAME	COMPLETE NAME (Surname, Given Name, Middle Name, Name Extension[i.e., Jr., Sr., III])	SPECIFIC RESIDENTIAL ADDRESS	NATIONALITY	% OF OWNERSHIP	TAX IDENTIFICATION NO.
B. TRUSTS					
ULTIMATE BENEFICIAL OWNER[5]					
NAME	COMPLETE NAME (Surname, Given Name, Middle Name, Name Extension[i.e., Jr., Sr., III])	SPECIFIC RESIDENTIAL ADDRESS	NATIONALITY	% OF OWNERSHIP	TAX IDENTIFICATION NO.

1. Refer to the definition of ultimate effective control under Section 2.2. of SEC Memorandum Circular No. 17, series of 2018.
2. Indicate the intermediate layers of the company's ownership structure, if any, in a separate sheet and attach an ownership chart clearly showing the intermediate layers with their respective ownership amounts.
3. Refer to the definition of beneficial owner under Sections 2.1 and 2.2. of SEC Memorandum Circular No. 17, series of 2018.
4. Refers to the natural person who ultimately owns or controls the partnership or who exercises ultimate effective control over the partnership.
5. Identity of the persons who control the trust and make the investment decisions; trust beneficiaries and the trustee; and individuals who furnish funds into the trust.

I, **MONICA ISABELLE I. VILLANUEVA**, Assistant Corporate Secretary of **ROXAS AND COMPANY INC.** declare under penalty of perjury that all matters set forth in this GIS have been duly verified by me and to the best of my knowledge and belief are true and correct.

By indicating herein the personal information of the incorporators, stockholders, directors, officers, beneficial owners, external auditor, notary public and their agents, I attest that the aforementioned persons authorize the SEC to: (1) collect, process and store their personal information through the GIS forms and related documents, for the period allowed under the applicable laws and regulations; and (2) share with and make available the GIS to interested parties in order to pursue lawful purposes and legitimate interests, and comply with legal mandate.

I also attest that the company and the aforementioned persons authorized the undersigned to file this GIS with SEC, and the information provided herein are true, accurate, timely and complete.

I further attest that they are aware of their rights under the Data Privacy Act, including the right to be informed, to access, to object, to erasure or blocking, to damages, to file a complaint, to rectify and to data portability, and understand that there are procedures, conditions and exceptions to be complied with in order to exercise or invoke such rights.

I likewise understand that the failure of the corporation to file this GIS for five (5) consecutive years shall be construed as non-operation of the corporation and a ground for the revocation of the corporations' certificate of incorporation in this eventuality, the corporation hereby waives its right to hearing for the said revocation.

Done this _____ day of JUL 31 2019 20 _____ in MAKATI CITY.



MONICA ISABELLE I. VILLANUEVA
Assistant Corporate Secretary

SUBSCRIBED AND SWORN TO before me in MAKATI CITY on JUL 31 2019 by affiant who personally appeared before me and exhibited to me his/her competent evidence of identity consisting of _____ issued at _____ on _____.

NOTARY PUBLIC

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Series of 2019

ATTY. JOHN DOMINICO A. PONCE, JR.
NOTARY PUBLIC
APPOINTMENT No. M-94 / MAKATI CITY
UNTIL DECEMBER 31, 2020
PTR No. 333128 / 01-31-2019 / MAKATI CITY
IBP No. 033600 / 11-27-2018 / RIZAL
MCLE COMPLIANCE No. VI-0027076 / 05-28-2019
ROLL No. 38432 / TIN No. 106-094-192-000
Unit 2-14 Makati Executive Tower 3
304, 304 Project Avenue, Pila and Pillas,
Makati City, Metro Manila