SECURITIES AND EXCHANGE COMMISSION
Secretariat Building, PICC Complex, Roxas Boulevard, Pasay City, 1307 Metro Manila Philippines
Tel: (632) 818-0921 Fax: (632) 818-5293 Email: mis@sec.gov.ph

The following document has been received:

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Company Information

SEC Registration No.: PW00000834
Company Name: ROXAS AND COMPANY, INC.
Industry Classification: D15790
Company Type: Stock Corporation

Document Information

Document ID: OST1120620218190842
Document Type: General Information Sheet
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Period Covered: June 16, 2021
Submission Type: Amendment
Remarks: None

Roxas & Company, Inc.

06 December 2021

Securities and Exchange Commission
Secretariat Building, PICC Complex
Roxas Boulevard, Pasay City

Gentlemen:

Please be informed that Roxas and Company, Inc., amended its General Information Sheet ("GIS") and filed the same with the Securities and Exchange Commission, today, 06 December 2021. The GIS was amended to reflect the newly appointed Assistant Corporate Secretary/Compliance Officer/ Corporate Information Officer/ Investment Relations Officer.

Very truly yours,

PETER D.A. BAROT
Corporate Secretary

AMENDED GENERAL INFORMATION SHEET (GIS)

FOR THE YEAR 2021

STOCK CORPORATION

GENERAL INSTRUCTIONS:

1. FOR USER CORPORATION: THIS GIS SHOULD BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS FROM THE DATE OF THE ANNUAL STOCKHOLDERS' MEETING. **DO NOT LEAVE ANY ITEM BLANK.** WRITE "N.A." IF THE INFORMATION REQUIRED IS NOT APPLICABLE TO THE CORPORATION OR "NONE" IF THE INFORMATION IS NON-EXISTENT. IF THE ANNUAL STOCKHOLDERS' MEETING IS HELD ON A DATE OTHER THAN THAT STATED IN THE BY-LAWS, THE GIS SHALL BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS AFTER THE ELECTION OF THE DIRECTORS, TRUSTEES AND OFFICERS OF THE CORPORATION AT THE ANNUAL MEMBERS' MEETING.
2. IF NO MEETING IS HELD, THE CORPORATION SHALL SUBMIT THE GIS NOT LATER THAN JANUARY 30 OF THE FOLLOWING YEAR. HOWEVER, SHOULD AN ANNUAL STOCKHOLDERS' MEETING BE HELD THEREAFTER, A NEW GIS SHALL BE SUBMITTED/FILED.
3. THIS GIS SHALL BE ACCOMPLISHED IN ENGLISH AND CERTIFIED AND SWORN TO BY THE **CORPORATE SECRETARY** OF THE CORPORATION.
4. THE SEC SHOULD BE TIMELY APPRISED OF RELEVANT CHANGES IN THE SUBMITTED INFORMATION AS THEY ARISE. FOR CHANGES RESULTING FROM ACTIONS THAT AROSE BETWEEN THE ANNUAL MEETINGS, THE CORPORATION SHALL SUBMIT AMENDED GIS CONTAINING THE NEW INFORMATION TOGETHER WITH A COVER LETTER SIGNED THE CORPORATE SECRETARY OF THE CORPORATION. THE AMENDED GIS AND COVER LETTER SHALL BE SUBMITTED WITHIN SEVEN (7) DAYS AFTER SUCH CHANGE OCCURED OR BECAME EFFECTIVE.
5. SUBMIT FOUR (4) COPIES OF THE GIS TO THE RECEIVING SECTION AT THE SEC MAIN OFFICE, OR TO SEC SATELLITE OFFICES OR EXTENSION OFFICES. ALL COPIES SHALL UNIFORMLY BE ON A4 OR LETTER-SIZED PAPER. THE PAGES OF ALL COPIES SHALL USE ONLY ONE SIDE
6. **ONLY THE GIS ACCOMPLISHED IN ACCORDANCE WITH THESE INSTRUCTIONS SHALL BE CONSIDERED AS HAVING BEEN FILED.**
7. THIS GIS MAY BE USED AS EVIDENCE AGAINST THE CORPORATION AND ITS RESPONSIBLE DIRECTORS/OFFICERS FOR ANY VIOLATION OF EXISTING LAWS, RULES AND REGULATIONS

========== PLEASE PRINT LEGIBLY ==========

CORPORATE NAME: ROXAS AND COMPANY, INC. (formerly: CADP Group Corporation)	**DATE REGISTERED:** 10/7/1918
BUSINESS/TRADE NAME: ROXAS AND COMPANY, INC. (formerly: CADP Group Corporation)	**FISCAL YEAR END:** December 31
SEC REGISTRATION NUMBER: PW-00000834	
DATE OF ANNUAL MEETING PER BY-LAWS: 4th Wednesday of May	**CORPORATE TAX IDENTIFICATION NUMBER (TIN):** 000-269-435-000
ACTUAL DATE OF ANNUAL MEETING: June 16, 2021	**WEBSITE/URL ADDRESS:** www.roxascompany.com.ph
COMPLETE PRINCIPAL OFFICE ADDRESS: 7F Cacho-Gonzales Bldg. 101 Aguirre Street, Legaspi Village, Makati City	**E-MAIL ADDRESS:**
COMPLETE BUSINESS ADDRESS: 7F Cacho-Gonzales Bldg. 101 Aguirre Street, Legaspi Village, Makati City	**FAX NUMBER:** NONE

OFFICIAL E-MAIL ADDRESS	ALTERNATE E-MAIL ADDRESS	OFFICIAL MOBILE NUMBER	ALTERNATE MOBILE NUMBER
rci@roxascompany.com.ph	mltnavarro@roxascompany.com.ph	09178489194	09175709041

NAME OF EXTERNAL AUDITOR & ITS SIGNING PARTNER:	SEC ACCREDITATION NUMBER (if applicable):	TELEPHONE NUMBER(S):
Sycip Gorres Velayo & Co.	0012-FR-4	(08) 810 - 8901 to 06

PRIMARY PURPOSE/ACTIVITY/INDUSTRY PRESENTLY ENGAGED IN:	INDUSTRY CLASSIFICATION:	GEOGRAPHICAL CODE:
HOLDING AND INVESTMENT COMPANY	None	None

========== INTERCOMPANY AFFILIATIONS ==========

PARENT COMPANY	SEC REGISTRATION NO.	ADDRESS
N/A		
SUBSIDIARY/AFFILIATE	**SEC REGISTRATION NO.**	**ADDRESS**
ROXACO LAND CORPORATION	150570	Nasugbu, Batangas, Philippines
ROXAS GREEN ENERGY CORPORATION	CS201509364	7th Floor CG Building, 101 Aguirre Street, Legaspi Village, Makati City
ROXAS SIGMA AGRIVENTURES, INC.	CS201502789	Purok 10, Poblacion, Tupi, South Cotabato
ROXAS HOLDINGS, INC.	PW - 15	14th Floor, Net One Center, 3rd Avenue corner 26th Street, Bonifacio Global City, Taguig
UNITED VENTURES CORPORATION	48146	7th Floor CG Building, 101 Aguirre Street, Legaspi Village, Makati City

NOTE: USE ADDITIONAL SHEET IF NECESSARY

AMENDED GENERAL INFORMATION SHEET

STOCK CORPORATION

========== **PLEASE PRINT LEGIBLY** ==========

Corporate Name: ROXAS AND COMPANY INC

A. Is the Corporation a covered person under the Anti Money Laundering Act (AMLA), as amended? (Rep. Acts. 9160/9164/10167/10365) ☐ Yes ☒ No

Please check the appropriate box:

1.

- ☐ a. Banks
- ☐ b. Offshore Banking Units
- ☐ c. Quasi-Banks
- ☐ d. Trust Entities
- ☐ e. Non-Stock Savings and Loan Associations
- ☐ f. Pawnshops
- ☐ g. Foreign Exchage Dealers
- ☐ h. Money Changers
- ☐ i. Remittance Agents
- ☐ j. Electronic Money Issuers
- ☐ k. Financial Institutions which Under Special Laws are subject to Bangko Sentral ng Pilipinas' (BSP) supervision and/or regulation, including their subsidiaries and affiliates.

2.

- ☐ a. Insurance Companies
- ☐ b. Insurance Agents
- ☐ c. Insurance Brokers
- ☐ d. Professional Reinsurers
- ☐ e. Reinsurance Brokers
- ☐ f. Holding Companies
- ☐ g. Holding Company Systems
- ☐ h. Pre-need Companies
- ☐ i. Mutual Benefit Association
- ☐ j. All Other Persons and entities supervised and/or regulated by the Insurance Commission (IC)

3.

- ☐ a. Securities Dealers
- ☐ b. Securities Brokers
- ☐ c. Securities Salesman
- ☐ d. Investment Houses
- ☐ e. Investment Agents and Consultants
- ☐ f. Trading Advisors
- ☐ g. Other entities managing Securities or rendering similar services
- ☐ h. Mutual Funds or Open-end Investment Companies
- ☐ i. Close-end Investment Companies
- ☐ j. Common Trust Funds or Issuers and other similar entities
- ☐ k. Transfer Companies and other similar entities
- ☐ l. Other entities administering or otherwise dealing in currency, commodities or financial derivatives based there on
- ☐ m. Entities administering of otherwise dealing in valuable objects
- ☐ n. Entities administering or otherwise dealing in cash Substitutes and other similar monetary instruments or property supervised and/or regulated by the Securities and Exchange Commission (SEC)

4. ☐ Jewelry dealers in precious metals, who, as a business, trade in precious metals

5. ☐ Jewelry dealers in precious stones, who, as a business, trade in precious stone

6. Company service providers which, as a business, provide any of the following services to third parties:

- ☐ a. acting as a formation agent of juridical persons
- ☐ b. acting as (or arranging for another person to act as) a director or corporate secretary of a company, a partner of a partnership, or a similar position in relation to other juridical persons
- ☐ c. providing a registered office, business address or accommodation, correspondence or administrative address for a company, a partnership or any other legal person or arrangement
- ☐ d. acting as (or arranging for another person to act as) a nominee shareholder for another person

7. Persons who provide any of the following services:

- ☐ a. managing of client money, securities or other assets
- ☐ b. management of bank, savings or securities accounts
- ☐ c. organization of contributions for the creation, operation or management of companies
- ☐ d. creation, operation or management of juridical persons or arrangements, and buying and selling business entities

8. ☑ None of the above

Describe nature of business: HOLDING AND INVESTMENT COMPANY

B. Has the Corporation complied with the requirements on Customer Due Diligence (CDD) or Know Your Customer (KYC), record-keeping, and submission of reports under the AMLA, as amended, since the last filing of its GIS? ☐ Yes ☒ No

GENERAL INFORMATION SHEET

STOCK CORPORATION

========== PLEASE PRINT LEGIBLY ==========

CORPORATE NAME: ROXAS AND COMPANY, INC.

CAPITAL STRUCTURE

AUTHORIZED CAPITAL STOCK

	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP) (No. of shares X Par/Stated Value)
	COMMON	3,375,000,000	1	3,375,000,000.00
	PREFERRED	1,000,000,000	1	1,000,000,000.00
	TOTAL	**4,375,000,000**	**TOTAL P**	**4,375,000,000.00**

SUBSCRIBED CAPITAL

FILIPINO	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
		COMMON	1,928,076,474	37,592,506	1.00	1,928,076,474.00	79.93%
		PREFERRED	200,000,000		1.00	200,000,000.00	8.29%
		TOTAL	**2,128,076,474**	**TOTAL**	**TOTAL P**	**2,128,076,474.00**	**88.22%**

FOREIGN (INDICATE BY NATIONALITY)	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
		COMMON	284,181,584		1.00	284,181,584.00	11.78%
Percentage of Foreign Equity :		**TOTAL**	**284,181,584**	**TOTAL**	**TOTAL P**	**284,181,584.00**	**11.78%**
					TOTAL SUBSCRIBED P	**2,412,258,058.00**	**100.00%**

PAID-UP CAPITAL

FILIPINO	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
		COMMON	1,928,076,474	1	1,928,076,474.00	79.93%
		PREFERRED	200,000,000	1	200,000,000.00	8.29%
		TOTAL	**2,128,076,474**	**TOTAL P**	**2,128,076,474.00**	**88.22%**

FOREIGN (INDICATE BY NATIONALITY)	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
		COMMON	284,181,584	1	284,181,584.00	11.78%
11.78 %		**TOTAL**	**284,181,584**	**TOTAL P**	**284,181,584.00**	**11.78%**
				TOTAL PAID-UP P	**2,412,258,058.00**	**100.00%**

NOTE: USE ADDITIONAL SHEET IF NECESSARY

* Common, Preferred or other classification

** Other than Directors, Officers, Shareholders owning 10% of outstanding shares.

AMENDED GENERAL INFORMATION SHEET

STOCK CORPORATION

========== **PLEASE PRINT LEGIBLY** ==========

CORPORATE NAME: **ROXAS AND COMPANY INC**

D I R E C T O R S / O F F I C E R S

NAME/CURRENT RESIDENTIAL ADDRESS	NATIONALITY	INC'R	BOARD	GENDER	STOCK HOLDER	OFFICER	EXEC. COMM.	TAX IDENTIFICATION NUMBER
1. **PEDRO O. ROXAS** 6 Ipil Road, Forbes Park	FILIPINO	N	C	M	Y	Chairman	C/M, N/M	104-738-165
2. **EDGAR P. ARCOS** 42 Sampaguita St.,Tahanan Village, Paranaque City	FILIPINO	N	M	M	Y	President/ CEO	N/A	202-183-473
3. **SANTIAGO R. ELIZALDE** 1666 Dasmarinas Avenue, Dasmarinas Village, Makati	FILIPINO	N	M	M	Y	N/A	N/M	100-349-993
4. **FRANCISCO JOSE R. ELIZALDE** 1701 THE PEAK, 107 LEVISTE ST., SALCEDO VILLAGE, MAKATI CITY	FILIPINO	N	M	M	Y	N/A	A/M	119-132-731
5. **CORAZON S. DE LA PAZ-BERNARDO** 17A MCKINLEY ROAD, FORBES PARK, MAKATI CITY	FILIPINO	N	I	F	Y	Lead Independent Director	C/M,A/C, N/M	112-070-586
6. **AURELIO R. MONTINOLA III** 29 PILI AVE., SOUTH FORBES PARK, MAKATI CITY	FILIPINO	N	I	M	Y	N/A	A/M, N/C	135-558-086
7. **GERARDO C. ABLAZA JR.** 154 San Enrique St. Ayala Alabang Village Muntinlupa	FILIPINO	N	I	M	Y	N/A	C/C, N/M	107-169-884
8. **PETER DONNELY A. BAROT** 104 H.V. Dela Costa St. Salcedo Village, Makati City	FILIPINO	N	N	M	N	Corporate Secretary	N/A	107-478-120
9. **EARLENE LIRIO R. TURANO Unit 9E Tower A Kingswood Condominium, Metropolitan Avenue, La Paz, Makati City**	**FILIPINO**	**N**	**N**	**F**	**N**	**Asst. Corp. Sec./CIO/ CO/IRO**	**N/A**	**269-481-250**
10 **MARCELINO C. BUNDOC** 276 San Jose Paombong Bulacan	FILIPINO	N	N	M	N	Alternate CO/ Alternate CIO	N/A	150-117-548

INSTRUCTION:

FOR SEX COLUMN, PUT "F" FOR FEMALE, "M" FOR MALE.
FOR BOARD COLUMN, PUT "C" FOR CHAIRMAN, "M" FOR MEMBER, "I" FOR INDEPENDENT DIRECTOR.
FOR INC'R COLUMN, PUT "Y" IF AN INCORPORATOR, "N" IF NOT.
FOR STOCKHOLDER COLUMN, PUT "Y" IF A STOCKHOLDER, "N" IF NOT.
FOR OFFICER COLUMN, INDICATE PARTICULAR POSITION IF AN OFFICER, FROM VP UP INCLUDING THE POSITION OF THE TREASURER, SECRETARY, COMPLIANCE OFFICER AND/OR ASSOCIATED PERSON.
FOR EXECUTIVE COMMITTEE, INDICATE "C" IF MEMBER OF THE COMPENSATION COMMITTEE; "A" FOR AUDIT COMMITTEE; "N" FOR NOMINATION AND ELECTION COMMITTEE. ADDITIONALLY WRITE "C" AFTER SLASH IF CHAIRMAN AND "M" IF MEMBER.

AMENDED GENERAL INFORMATION SHEET

STOCK CORPORATION

========== PLEASE PRINT LEGIBLY ==========

CORPORATE NAME: ROXAS AND COMPANY, INC.

TOTAL NUMBER OF STOCKHOLDERS: 3,300 | **NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:** 3,300

TOTAL ASSETS BASED ON LATEST AUDITED FINANCIAL STATEMENTS: 9,543,665,250.00

STOCKHOLDER'S INFORMATION

NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (PhP)	TAX IDENTIFICATION NUMBER
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNER-SHIP		
1 **SPCI HOLDINGS, INC.**						
FILIPINO	COMMON	692,779,593	692,779,593			
4/F NEW SOLID BUILDING, 357 SEN. GIL PUYAT AVENUE, MAKATI CITY				28.72%	692,779,593.00	212-605-396
	TOTAL	**692,779,593**	**692,779,593.00**			
2. **AMALGAMATED INVESTMENT BANCORPORATION**						
FILIPINO	PREFERRED	200,000,000	200,000,000.00			
11th floor, Multinational Bancorp Center, 6805 Ayala Ave, Makati, 1200 Metro Manila				8.29%	200,000,000.00	005-374-465-000
	TOTAL	**200,000,000**	**200,000,000.00**			
3. **PCD NOMINEE CORPORATION (FILIPINO)**						
FILIPINO	COMMON	415,851,268	415,851,268.00			
PDS GROUP 29TH FLOOR, BDO EQUITABLE TOWER 8751 PASEO DE ROXAS, MAKATI CITY 1226				17.24%	415,851,268.00	212-605-396
	TOTAL	**415,851,268**	**415,851,268.00**			
4. **PESAN HOLDINGS,INC.**						
FILIPINO	COMMON	326,921,001	326,921,001.00			
ROXAS HOLDINGS,INC.6/F CG BLDG. AGUIRRE ST.LEGASPI VILLAGE MAKATI CITY				13.55%	326,921,001.00	221-188-467
	TOTAL	**326,921,001**	**326,921,001.00**			
5. **PCD NOMINEE CORPORATION (NON-FILIPINO)**						
NON-FILIPINO	COMMON	283,233,647	283,233,647.00			
PDS GROUP 29TH FLOOR, BDO EQUITABLE TOWER 8751 PASEO DE ROXAS, MAKATI CITY 1226				11.74%	283,233,647.00	004-774-849
	TOTAL	**283,233,647**	**283,233,647.00**			
6. **CISCO HOLDINGS INC.**						
FILIPINO		112,500,000	112,500,000.00			
UNIT 1701, 17/F THE PEAK BLDG. 107 L. P. LEVISTE ST., SALCEDO VILLAGE, MAKATI CITY				4.66%	112,500,000.00	009-082-716
	TOTAL	**112,500,000**	**112,500,000.00**			
7. **CRE HOLDINGS INC.**						
FILIPINO	COMMON	112,500,000	112,500,000			
UNIT 1701, 17/F THE PEAK BLDG. 107 L. P. LEVISTE ST., SALCEDO VILLAGE, MAKATI CITY				4.66%	112,500,000.00	009-072-440
	TOTAL	**112,500,000**	**112,500,000.00**			
TOTAL AMOUNT OF SUBSCRIBED CAPITAL			**2,143,785,509.00**	**88.87%**		
TOTAL AMOUNT OF PAID-UP CAPITAL					**2,143,785,509.00**	

INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS

Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.

AMENDED GENERAL INFORMATION SHEET

STOCK CORPORATION

========== PLEASE PRINT LEGIBLY ==========

CORPORATE NAME:	ROXAS AND COMPANY, INC.		
TOTAL NUMBER OF STOCKHOLDERS:	**3,300**	**NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:**	**3,300**
TOTAL ASSETS BASED ON LATEST AUDITED FS:	**9,543,665,250.00**		

STOCKHOLDER'S INFORMATION

NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (PhP)	TAX IDENTIFCATION NUMBER
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNER-SHIP		
8. **INIGO HOLDINGS INC.**						
FILIPINO	COMMON	112,500,000	112,500,000.00			
UNIT 1701, 17/F THE PEAK BDLG. 107 L. P. LEVISTE ST., SALCEDO VILLAGE, MAKATI CITY				4.66%	112,500,000.00	009-082-724
	TOTAL	**112,500,000**	**112,500,000.00**			
9. **SRE HOLDINGS INC.**						
FILIPINO	COMMON	112,500,000	112,500,000.00			
UNIT 1701, 17/F THE PEAK BLDG. 107 L. P. LEVISTE ST., SALCEDO VILLAGE, MAKATI CITY				4.66%	112,500,000.00	004-774-849
	TOTAL	**112,500,000**	**112,500,000.00**			
10. **PESAN HOLDINGS,INC.**						
FILIPINO	COMMON	13,606,519	13,606,519.00			
7/F,CG BUILDING,101AGUIRRE STREET LEGASPIVILLAGE,MAKATI CITY				0.56%	13,606,519.00	221-188-467
	TOTAL	**13,606,519**	**13,606,519.00**			
11. **RIZAL COMMERCIAL BANKING CORPORATION**						
FILIPINO	COMMON	3,048,161	3,048,161.00			
C/O ZENAIDA F. TORRES 12/F YUCHENGCO TOWER, RCBC PLAZA TOWER 1, 6819 AYALA AVE.MAKATI				0.13%	3,048,161.00	049-000-599-760
	TOTAL	**3,048,161**	**3,048,161.00**			
12. **ANTONIO ROXAS CHUA**						
FILIPINO	COMMON	2,379,610	2,379,610.00			
ARCA BUILDING, 1843 TAFT AVENUE PASAY CITY				0.10%	2,379,610.00	NOT ON FILE
	TOTAL	**2,379,610**	**2,379,610.00**			
13. **MARICARMEN R. DE ELIZALDE**						
FILIPINO	COMMON	1,361,241	1,361,241.00			
CACHO-GONZALES BLDG. AGUIRRE ST., MAKATI, MM				0.06%	1,361,241.00	134-210-848
	TOTAL	**1,361,241**	**1,361,241.00**			
14. **SANTIAGO R. ELIZALDE**						
FILIPINO	COMMON	1,210,930	1,210,930.00			
C/O ROXAS & CO., INC. 6/F CG BLDG. 101 AGUIRRE ST. LEGASPI VILL., MAKATI CITY				0.05%	1,210,930.00	100-349-993
	TOTAL	**1,210,930**	**1,210,930.00**			
TOTAL AMOUNT OF SUBSCRIBED CAPITAL			**246,606,461.00**	**10.22%**		
TOTAL AMOUNT OF PAID-UP CAPITAL					**2,390,391,970.00**	

INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS

Note: ***For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.***

AMENDED GENERAL INFORMATION SHEET

STOCK CORPORATION

========== **PLEASE PRINT LEGIBLY** ==========

CORPORATE NAME:	ROXAS AND COMPANY, INC.		
TOTAL NUMBER OF STOCKHOLDERS:	**3,300**	**NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:**	**3,300**
TOTAL ASSETS BASED ON LATEST AUDITED FS:	**9,543,665,250.00**		

STOCKHOLDER'S INFORMATION

NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (PhP)	TAX IDENTIFICATION NUMBER
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNER-SHIP		
15. **CARLOS ANTONIO R. DE ELIZALDE**						
FILIPINO	COMMON	1,200,320	1,200,320.00			
C/O ROXAS & CO. INC. 6/F CG BLDG. 101 AGUIRRE ST., LEGASPI VILL., MAKATI CITY				0.05%	1,200,320.00	119-814-785
	TOTAL	**1,200,320**	**1,200,320.00**			
16. **FRANCISCO JOSE DE ELIZALDE, JR.**						
FILIPINO	COMMON	1,200,320	1,200,320.00			
C/O ROXAS & CO. INC. 6/F CG BLDG. 101 AGUIRRE ST. LEGASPI VILL., MAKATI CITY				0.05%	1,200,320.00	119-132-731
	TOTAL	**1,200,320**	**1,200,320.00**			
17. **CENTRAL AZUCARERA DELA CARLOTA RETIREMENTTRUST FUND**						
FILIPINO	COMMON	1,178,400	1,178,400			
6/F CACHO-GONZALES BLDG. 101 AGUIRRE STREET, LEGASPI VILL. MAKATI CITY				0.05%	1,178,400.00	246-316-829
	TOTAL	**1,178,400**	**1,178,400.00**			
18. **PEDRO E. ROXAS**						
FILIPINO		937,892	937,892.00			
CACHO-GONZALES BUILDING 101 AGUIRRE ST., MAKATI, MM				0.04%	937,892.00	104-738-165
	TOTAL	**937,892**	**937,892.00**			
19. **EQUITABLE SEC. FAO INIGO R. DE ELIZALDE**						
FILIPINO	COMMON	933,810	933,810.00			
C/O CAC, CG BUILDING 101 AGUIRRE ST., LEGASPI VILLAGE MAKATI CITY				0.04%	933,810.00	NOT ON FILE
	TOTAL	**933,810**	**933,810.00**			
20. **SEVERO A. TUASON & CO., INC.**						
FILIPINO	COMMON	537,000	537,000.00			
14-B CORPORATE COND. ADB AVENUE ORTIGAS AVENUE, PASIG CITY				0.02%	537,000.00	124-139-087
	TOTAL	**537,000**	**537,000.00**			
21. **OTHERS (Indicate the number of the remaining stockholders)**						
3,281	COMMON	15,878,346	15,878,346			
				0.66%	15,878,346.00	
	TOTAL	**15,878,346**	**15,878,346.00**			
TOTAL AMOUNT OF SUBSCRIBED CAPITAL			**21,866,088.00**	**0.91%**		
TOTAL AMOUNT OF PAID-UP CAPITAL					**2,412,258,058.00**	

INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS

Note: ***For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.***

AMENDED GENERAL INFORMATION SHEET

STOCK CORPORATION

PLEASE PRINT LEGIBLY

CORPORATE NAME: **ROXAS AND COMPANY, INC.**

1. INVESTMENT OF CORPORATE FUNDS IN ANOTHER CORPORATION	AMOUNT (PhP)	DATE OF BOARD RESOLUTION
1.1 STOCKS		
1.2 BONDS/COMMERCIAL PAPER (Issued by Private Corporations)		
1.3 LOANS/ CREDITS/ ADVANCES		
1.4 GOVERNMENT TREASURY BILLS		
1.5 OTHERS		

2. INVESTMENT OF CORPORATE FUNDS IN ACTIVITIES UNDER ITS SECONDARY PURPOSES (PLEASE SPECIFY:)	DATE OF BOARD RESOLUTION	DATE OF STOCKHOLDERS RATIFICATION

3. TREASURY SHARES	NO. OF SHARES	% AS TO THE TOTAL NO. OF SHARES ISSUED
	699,627,812	20.73%

4. UNRESTRICTED/UNAPPROPRIATED RETAINED EARNINGS AS OF END OF LAST FISCAL YEAR

5. DIVIDENDS DECLARED DURING THE IMMEDIATELY PRECEDING YEAR:

TYPE OF DIVIDEND	AMOUNT (PhP)	DATE DECLARED
5.1		
5.2		
5.3		
TOTAL	P	

6. ADDITIONAL SHARES ISSUED DURING THE PERIOD:

DATE	NO. OF SHARES	AMOUNT

SECONDARY LICENSE/REGISTRATION WITH SEC AND OTHER GOV'T AGENCY:

NAME OF AGENCY:	SEC	B S P	I C
TYPE OF LICENSE/REGN.	Permit to Sell Securities		
DATE ISSUED:	03/16/1994		
DATE STARTED OPERATIONS:	10/17/1918		

TOTAL ANNUAL COMPENSATION OF DIRECTORS DURING THE PRECEDING FISCAL YEAR (in PhP)	TOTAL NO. OF OFFICERS	TOTAL NO. OF RANK & FILE EMPLOYEES	TOTAL MANPOWER COMPLEMENT
PhP1,765,001.00	4	12	15

NOTE: USE ADDITIONAL SHEET IF NECESSARY

I, **EARLENE LIRIO R. TURANO** , Assistant Corporate Secretary of **ROXAS AND COMPANY INC.,** declare under penalty of perjury that all matters set forth in this GIS have been made in good faith, duly verified by me and to the best of my knowledge and belief are true and correct.

I hereby attest that all the information in this GIS are being submitted in compliance with the rules and regulations of the Securities and Exchange Commission (SEC) the collection, processing, storage and sharing of said information being necessary to carry out the functions of public authority for the performance of the constitutionally and statutorily mandated functions of the SEC as a regulatory agency.

I further attest that I have been authorized by the Board of Directors/Trustees to file this GIS with the SEC.

I understand that the Commission may place the corporation under delinquent status for failure to submit the reportorial requirements three (3) times, consecutively or intermittently, within a period of five (5) years (*Section 177, RA No. 11232*).

Done this 3rd day of December, 2021 in Makati City.

EARLENE LIRIO R. TURANO
Assistant Corporate Secretary

SUBSCRIBED AND SWORN TO before me in MAKATI CITY on DEC 03 2021 by affiant who personally appeared before me and exhibited to me his/her competent evidence of identity consisting of Driver's License No. N01-11-016658 issued 16 October 2019 until 16 October 2024.

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Page No.: 38 ;
Book No.: 219 ;
Series of 2021

ATTY. JOHN DOMINGO A. PONCE, JR.
NOTARY PUBLIC
APPOINTMENT NO. M-028 MAKATI CITY
UNTIL DECEMBER 31, 2021
(per Supreme Court En Banc Resolution
dated June 22, 2021)
PTR No. 8530267/01-04-2021/MAKATI CITY
IBP No. 142544/01-04-2021/RIZAL
MCLE COMPLIANCE No. VI-0027026/05-28-2019
ROLL NO. 36452/TIN No. 106-099-102-000
Unit G-14 Makati Executive Tower 3
Sen. Gil Puyat Avenue, Pio del Pilar,
Makati City, Metro Manila

NOTARY PUBLIC

BENEFICIAL OWNERSHIP DECLARATION

SEC REGISTRATION NUMBER: **PW-00000834**

CORPORATE NAME: ROXAS AND COMPANY, INC.

Instructions:

1. Identify the Beneficial Owner/s of the corporation as described in the Categories of Beneficial Ownership in items A to I below. List down as many as you can identify. You may use an additional sheet if necessary.
2. Fill in the required information on the beneficial owner in the fields provided for.
3. In the "Category of Beneficial Ownership" column, indicate the letter(s) corresponding thereto. In the event that the person identified as beneficial owner falls under several categories, indicate all the letters corresponding to such categories.
4. If the category is under letter "I", indicate the position held (i.e., Director/Trustee, President, Chief Executive Officer, Chief Operating Officer, Chief Financial Officer, etc.).
5. Do not leave any item blank. Write "N/A" if the information required is not applicable or "NONE" if non-existent.

"Beneficial Owner" refers to any natural person(s) who ultimately own(s) or control(s) or exercise(s) ultimate effective control over the corporation. This defination covers the natural person(s) who actualy own or control the corporation as destinguished from the legal owners. Such beneficial ownership may be determined on the basis of the following:

Category	**Description**
A	Natural person(s) owning, directly or indirectly or through a chain of ownership, at least twenty-five percent (25%) of the voting rights, voting shares or capital of the reporting corporation.
B	Natural person(s) who exercise control over the reporting corporation, alone or together with others, through any contract, understanding, relationship, intermediary or tiered entity.
C	Natural person(s) having the ability to elect a majority of the board of directors/trustees, or any similar body, of the corporation.
D	Natural person(s) having the ability to exert a dominant influence over the management or policies of the corporation.
E	Natural person(s) whose directions, instructions,or wishes in conducting the affairs of the corporation are carried out by majority of the members of the board of directors of such corporation who are accustomed or under an obligation to act in accordance with such person's directions, instructions or wishes.
F	Natural person(s) acting as stewards of the properties of corporations, where such properties are under the care or administration of said natural person(s).
G	Natural person(s) who actually own or control the reporting corporation through nominee shareholders or nominee directors acting for or on behalf of such natural persons.
H	Natural person(s) ultimately owning or controlling or exercising ultimate effective control over the corporation through other means not falling under any of the foregoing categories.
I	Natural person(s) exercising control through positions held within a corporation (i.e., responsible for strategic decisions that fundamentally affect the business practices or general direction of the corporation such as the members of the board of directors or trustees or simlar body within the corporation; or exercising executive control over the daily or regular affairs of the corporation through a senior mangement position). This category is only applicable in exceptional cases where no natural person is identifiable who ultimately owns or exerts control over the corporation, the reporting corporation having exhausted all reasonable means of identification and provided there are no grounds for suspicion.

COMPLETE NAME (Surname, Given Name, Middle Name, Name Extension (i.e., Jr., Sr., III)	**SPECIFIC RESIDENTIAL ADDRESS**	**NATIONALITY**	**DATE OF BIRTH**	**TAX IDENTIFICATION NO.**	**% OF OWNERSHIP[1] / % OF VOTING RIGHTS[2]**	**TYPE OF BENEFICIAL OWNER[3] Direct (D) or Indirect (I)**	**CATEGORY OF BENEFICIAL OWNERSHIP**
PEDRO O. ROXAS	6 Ipil Road, Forbes Park	Filipino	19-Mar-56	104-738-165	0.04%	D	B
SANTIAGO R. ELIZALDE	1666 Dasmariñas Ave., Dasmariñas Village, Makati City	Filipino	30-Sep-64	100-349-993	0.05%	I	B

Note: This page is not for uploading on the SEC iView.

[1] For Stock Corporations.

[2] For Non-Stock Corporations.

[3] For Stock Corporations.