



112202018000574

**SECURITIES AND EXCHANGE COMMISSION**

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**Company Information**

SEC Registration No. PW00000834

Company Name ROXAS AND COMPANY, INC.

Industry Classification

Company Type Stock Corporation

**Document Information**

Document ID 112202018000574

Document Type GENERAL INFORMATION SHEET

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Remarks Amended

**AMENDED GENERAL INFORMATION SHEET (GIS)**FOR THE YEAR **2018****STOCK CORPORATION****GENERAL INSTRUCTIONS:**

1. FOR USER CORPORATION: THIS GIS SHOULD BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS FROM THE DATE OF THE ANNUAL STOCKHOLDERS' MEETING. **DO NOT LEAVE ANY ITEM BLANK.** WRITE "N.A." IF THE INFORMATION REQUIRED IS NOT APPLICABLE TO THE CORPORATION OR "NONE" IF THE INFORMATION IS NON-EXISTENT. IF THE ANNUAL STOCKHOLDERS' MEETING IS HELD ON A DATE OTHER THAN THAT STATED IN THE BY-LAWS, THE GIS SHALL BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS FROM THE ACTUAL DATE OF THE ANNUAL STOCKHOLDERS' MEETING.
2. IF NO MEETING IS HELD, THE CORPORATION SHALL SUBMIT THE GIS NOT LATER THAN JANUARY 30 OF THE FOLLOWING YEAR. HOWEVER, SHOULD AN ANNUAL STOCKHOLDERS' MEETING BE HELD THEREAFTER, A NEW GIS SHALL BE SUBMITTED/FILED.
3. THIS GIS SHALL BE ACCOMPLISHED IN ENGLISH AND CERTIFIED AND SWORN TO BY THE CORPORATE SECRETARY OF THE CORPORATION.
4. THE SEC SHOULD BE TIMELY APPRISED OF RELEVANT CHANGES IN THE SUBMITTED INFORMATION AS THEY ARISE. FOR CHANGES RESULTING FROM ACTIONS THAT AROSE BETWEEN THE ANNUAL MEETINGS, THE CORPORATION SHALL SUBMIT ONLY THE AFFECTED PAGE OF THE GIS THAT RELATES TO THE NEW INFORMATION TOGETHER WITH A COVER LETTER SIGNED BY THE CORPORATE SECRETARY OF THE CORPORATION. THE PAGE OF THE GIS AND COVER LETTER SHALL BE SUBMITTED WITHIN SEVEN (7) DAYS AFTER SUCH CHANGE OCCURRED OR BECAME EFFECTIVE.
5. SUBMIT FOUR (4) COPIES OF THE GIS TO THE CENTRAL RECEIVING SECTION, GROUND FLOOR, SEC BLDG., EDSA, MANDALUYONG CITY. ALL COPIES SHALL UNIFORMLY BE ON A4 OR LETTER-SIZED PAPER WITH A STANDARD COVER PAGE. THE PAGES OF ALL COPIES SHALL USE ONLY ONE SIDE. CORPORATIONS SUBMITTING A COPY OF THEIR GIS ONLINE OR VIA INTERNET SHALL SUBMIT ONE (1) HARD COPY OF THE GIS, TOGETHER WITH A CERTIFICATION UNDER OATH BY ITS CORPORATE SECRETARY THAT THE COPY SUBMITTED ONLINE CONTAINS THE EXACT DATA IN THE HARD COPY.
6. **ONLY THE GIS ACCOMPLISHED IN ACCORDANCE WITH THESE INSTRUCTIONS SHALL BE CONSIDERED AS HAVING BEEN FILED.**
7. THIS GIS MAY BE USED AS EVIDENCE AGAINST THE CORPORATION AND ITS RESPONSIBLE DIRECTORS/OFFICERS FOR ANY VIOLATION OF EXISTING LAWS, RULES AND REGULATIONS

===== PLEASE PRINT LEGIBLY =====

<b>CORPORATE NAME:</b> <b>ROXAS AND COMPANY, INC. (formerly CADP GROUP CORPORATION)</b>		<b>DATE REGISTERED:</b>  10/7/1918
<b>BUSINESS/TRADE NAME:</b>  ROXAS AND COMPANY, INC.		<b>FISCAL YEAR END:</b>  December 31
<b>SEC REGISTRATION NUMBER:</b>  PW-00000834		<b>CORPORATE TAX IDENTIFICATION NUMBER (TIN):</b>  000-269-435-000
<b>DATE OF ANNUAL MEETING PER BY-LAWS:</b>  4th Wednesday of May		<b>WEBSITE/URL ADDRESS:</b>  <a href="http://www.roxascompany.com.ph">www.roxascompany.com.ph</a>
<b>ACTUAL DATE OF ANNUAL MEETING:</b>  23-May-18		<b>E-MAIL ADDRESS:</b>  
<b>COMPLETE PRINCIPAL OFFICE ADDRESS:</b>  7F CACHO-GONZALES BLDG., 101 AGUIRRE ST., LEGASPI VILLAGE, MAKATI CITY		<b>FAX NUMBER:</b>  750-2169
<b>NAME OF EXTERNAL AUDITOR &amp; ITS SIGNING PARTNER:</b>  SGV & Co.		<b>SEC ACCREDITATION NUMBER (if applicable):</b>  0012-FR-4 (Group A)
<b>PRIMARY PURPOSE/ACTIVITY/INDUSTRY PRESENTLY ENGAGED IN:</b>  HOLDING AND INVESTMENT COMPANY		<b>TELEPHONE NUMBER(S):</b>  (02) 810 - 8901 to 06
<b>INDUSTRY CLASSIFICATION:</b>  NONE		<b>GEOGRAPHICAL CODE:</b>  NONE

## ===== INTERCOMPANY AFFILIATIONS =====

PARENT COMPANY	SEC REGISTRATION NO.	ADDRESS
N/A		
SUBSIDIARY/AFFILIATE	SEC REGISTRATION NO.	ADDRESS
ROXACO LAND CORPORATION	150570	Nasugbu, Batangas, Philippines
ROXAS GREEN ENERGY CORPORATION	CS201509364	7th Floor CG Building, 101 Aguirre Street, Legaspi Village, Makati City
ROXAS SIGMA AGRIVENTURES, INC.	CS201502789	Purok 10, Poblacion, Tupi, South Cotabato
ROXAS HOLDINGS, INC.	PW - 15	14th Floor, Net One Center, 3rd Avenue corner 26th Street, Bonifacio Global City, Taguig
ANYA HOTELS AND RESORTS CORPORATION	A199900497	7th Floor CG Building, 101 Aguirre Street, Legaspi Village, Makati City

NOTE: USE ADDITIONAL SHEET IF NECESSARY



**AMENDED GENERAL INFORMATION SHEET**

STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

Corporate Name: ROXAS AND COMPANY, INC.

**A. Is the Corporation a covered person under the Anti Money Laundering Act (AMLA), as amended? (Rep. Acts. 9160/9164/10167/10365)** ☐ Yes ☒ No

Please check the appropriate box:

1.

- ☐ a. Banks  
☐ b. Offshore Banking Units  
☐ c. Quasi-Banks  
☐ d. Trust Entities  
☐ e. Non-Stock Savings and Loan Associations  
☐ f. Pawnshops  
☐ g. Foreign Exchange Dealers  
☐ h. Money Changers  
☐ i. Remittance Agents  
☐ j. Electronic Money Issuers  
☐ k. Financial Institutions which Under Special Laws are subject to Bangko Sentral ng Pilipinas' (BSP) supervision and/or regulation, including their subsidiaries and affiliates.

2.

- ☐ a. Insurance Companies  
☐ b. Insurance Agents  
☐ c. Insurance Brokers  
☐ d. Professional Reinsurers  
☐ e. Reinsurance Brokers  
☐ f. Holding Companies  
☐ g. Holding Company Systems  
☐ h. Pre-need Companies  
☐ i. Mutual Benefit Association  
☐ j. All Other Persons and entities supervised and/or regulated by the Insurance Commission (IC)

3.

- ☐ a. Securities Dealers  
☐ b. Securities Brokers  
☐ c. Securities Salesman  
  
☐ d. Investment Houses  
☐ e. Investment Agents and Consultants  
☐ f. Trading Advisors  
☐ g. Other entities managing Securities or rendering similar services  
☐ h. Mutual Funds or Open-end Investment Companies  
☐ i. Close-end Investment Companies  
☐ j. Common Trust Funds or Issuers and other similar entities  
☐ k. Transfer Companies and other similar entities  
☐ l. Other entities administering or otherwise dealing in currency, commodities or financial derivatives based there on  
☐ m. Entities administering or otherwise dealing in valuable objects  
☐ n. Entities administering or otherwise dealing in cash Substitutes and other similar monetary instruments or property supervised and/or regulated by the Securities and Exchange Commission (SEC)

4. ☐ Jewelry dealers in precious metals, who, as a business, trade in precious metals5. ☐ Jewelry dealers in precious stones, who, as a business, trade in precious stone

6. Company service providers which, as a business, provide any of the following services to third parties:

- ☐ a. acting as a formation agent of juridical persons  
☐ b. acting as (or arranging for another person to act as) a director or corporate secretary of a company, a partner of a partnership, or a similar position in relation to other juridical persons  
  
☐ c. providing a registered office, business address or accommodation, correspondence or administrative address for a company, a partnership or any other legal person or arrangement  
  
☐ d. acting as (or arranging for another person to act as) a nominee shareholder for another person

7. Persons who provide any of the following services:

- ☐ a. managing of client money, securities or other assets  
☐ b. management of bank, savings or securities accounts  
☐ c. organization of contributions for the creation, operation or management of companies  
☐ d. creation, operation or management of juridical persons or arrangements, and buying and selling business entities

8. ☐ None of the aboveDescribe  
nature of  
business:

HOLDING AND INVESTMENT COMPANY

**B. Has the Corporation complied with the requirements on Customer Due Diligence (CDD) or Know Your Customer (KYC), record-keeping, and submission of reports under the AMLA, as amended, since the last filing of its GIS?**☐ Yes ☒ No



# AMENDED GENERAL INFORMATION SHEET

STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

<b>CORPORATE NAME:</b> ROXAS AND COMPANY, INC.							
<b>CAPITAL STRUCTURE</b>							
<b>AUTHORIZED CAPITAL STOCK</b>							
		<b>TYPE OF SHARES *</b>	<b>NUMBER OF SHARES</b>	<b>PAR/STATED VALUE</b>	<b>AMOUNT (Php) (No. of shares X Par/Stated Value)</b>		
		COMMON	3,375,000,000	1.00	3,375,000,000.00		
		PREFERRED	1,000,000,000	1.00	1,000,000,000.00		
<b>TOTAL</b>			4,375,000,000	<b>TOTAL P</b>	4,375,000,000.00		
<b>SUBSCRIBED CAPITAL</b>							
<b>FILIPINO</b>	<b>NO. OF STOCK-HOLDERS</b>	<b>TYPE OF SHARES *</b>	<b>NUMBER OF SHARES</b>	<b>NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **</b>	<b>PAR/STATED VALUE</b>	<b>AMOUNT (Php)</b>	<b>% OF OWNERSHIP</b>
		COMMON	1,729,326,071	1,328,120,590	1.00	1,729,326,071.00	86.96%
		PREFERRED	500,000,000	500,000,000	1.00	500,000,000.00	20.09%
<b>TOTAL</b>			2,229,326,071	<b>TOTAL</b>	<b>TOTAL P</b>	2,229,326,071.00	
<b>FOREIGN (INDICATE BY NATIONALITY)</b>	<b>NO. OF STOCK-HOLDERS</b>	<b>TYPE OF SHARES *</b>	<b>NUMBER OF SHARES</b>	<b>NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **</b>	<b>PAR/STATED VALUE</b>	<b>AMOUNT (Php)</b>	<b>% OF OWNERSHIP</b>
		COMMON	259,296,781	259,145,422	1.00	259,296,781.00	13.04%
<b>TOTAL</b>			259,296,781	<b>TOTAL</b>	<b>TOTAL P</b>	259,296,781.00	
<b>Percentage of Foreign Equity :</b>			<b>TOTAL</b>	259,296,781	<b>TOTAL P</b>	259,296,781.00	
					<b>TOTAL SUBSCRIBED P</b>	2,488,622,852.00	
<b>PAID-UP CAPITAL</b>							
<b>FILIPINO</b>	<b>NO. OF STOCK-HOLDERS</b>	<b>TYPE OF SHARES *</b>	<b>NUMBER OF SHARES</b>	<b>PAR/STATED VALUE</b>	<b>AMOUNT (Php)</b>		<b>% OF OWNERSHIP</b>
		COMMON	1,729,326,071	1.00	1,729,326,071.00		86.96%
		PREFERRED	500,000,000	1.00	500,000,000.00		20.09%
<b>TOTAL</b>			2,229,326,071	<b>TOTAL P</b>	2,229,326,071.00		
<b>FOREIGN (INDICATE BY NATIONALITY)</b>	<b>NO. OF STOCK-HOLDERS</b>	<b>TYPE OF SHARES *</b>	<b>NUMBER OF SHARES</b>	<b>PAR/STATED VALUE</b>	<b>AMOUNT (Php)</b>		<b>% OF OWNERSHIP</b>
		COMMON	259,296,781	1.00	259,296,781.00		13.04%
<b>TOTAL</b>			259,296,781	<b>TOTAL P</b>	259,296,781.00		
				<b>TOTAL PAID-UP P</b>	2,488,622,852.00		
NOTE: USE ADDITIONAL SHEET IF NECESSARY							
* Common, Preferred or other classification							
** Other than Directors, Officers, Shareholders owning 10% of outstanding shares.							



**AMENDED GENERAL INFORMATION SHEET**

STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME:

ROXAS AND COMPANY, INC.

**DIRECTORS / OFFICERS**

NAME AND NATIONALITY	INC'R	BOARD	SEX	STOCK HOLDER	OFFICER	EXEC. COMM.
1. PEDRO E. ROXAS FILIPINO	N	C	M	Y	Chairman	C/C, N/M
2. FERNANDO L. GASPAR FILIPINO	N	M	M	Y	President and CEO	NA
3. CARLOS ANTONIO R. ELIZALDE FILIPINO	N	M	M	Y	N/A	N/M
4. FRANCISCO JOSE R. ELIZALDE FILIPINO	N	M	M	Y	N/A	A/M
5. GUILLERMO D. LUCHANGCO FILIPINO	N	I	M	Y	N/A	C/M, N/M
6. CORAZON S. DE LA PAZ-BERNARDO FILIPINO	N	I	F	Y	N/A	C/M,A/C,
7. AURELIO R. MONTINOLA, III FILIPINO	N	I	M	Y	N/A	A/M
8. PETER DONNELLY A. BAROT FILIPINO	N	N/A	M	N	CORP. SEC.	N/A
9. ARMANDO B. ESCOBAR FILIPINO	N	N/A	M	N	EVP-CFO/ Treas./ Risk Mgt. Officer	N/A
10. MONICA ISABELLE I. VILLANUEVA FILIPINO	N	N/A	F	N	Asst. Corp. Sec./CIO/ CO	N/A
11. JULIO JUDE D. MONTINOLA FILIPINO	N	N/A	M	N	Asst. Vice President - Business Dev't, Strat.	N/A
nothing follows						

**INSTRUCTION:**

FOR SEX COLUMN, PUT "F" FOR FEMALE, "M" FOR MALE.

FOR BOARD COLUMN, PUT "C" FOR CHAIRMAN, "M" FOR MEMBER, "I" FOR INDEPENDENT DIRECTOR.

FOR INC'R COLUMN, PUT "Y" IF AN INCORPORATOR, "N" IF NOT.

FOR STOCKHOLDER COLUMN, PUT "Y" IF A STOCKHOLDER, "N" IF NOT.

FOR OFFICER COLUMN, INDICATE PARTICULAR POSITION IF AN OFFICER, FROM VP UP INCLUDING THE POSITION OF THE TREASURER, SECRETARY, COMPLIANCE OFFICER AND/OR ASSOCIATED PERSON.

FOR EXECUTIVE COMMITTEE, INDICATE "C" IF MEMBER OF THE COMPENSATION COMMITTEE; "A" FOR AUDIT COMMITTEE; "N" FOR NOMINATION AND ELECTION COMMITTEE. ADDITIONALLY WRITE "C" AFTER SLASH IF CHAIRMAN AND "M" IF MEMBER.

# **AMENDED GENERAL INFORMATION SHEET**

STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME: ROXAS AND COMPANY, INC.

TOTAL NUMBER OF STOCKHOLDERS: 3,340

NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH: 3,138

TOTAL ASSETS BASED ON LATEST AUDITED FINANCIAL STATEMENTS: PhP 7,263,528,017

## **STOCKHOLDER'S INFORMATION**

NAME AND NATIONALITY	SHARES SUBSCRIBED				AMOUNT PAID (PhP)
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNER- SHIP	
1. <b>SPCI HOLDINGS INC.</b> FILIPINO	C	692,779,593	692,779,593.00	27.84%	692,779,593.00
	TOTAL	692,779,593	692,779,593.00		
2. <b>AMALGAMATED INVESTMENT BANCORPORATION</b> FILIPINO	PREFE RED	500,000,000	500,000,000.00	20.09%	500,000,000.00
	TOTAL	500,000,000	500,000,000.00		
3. <b>PESAN HOLDINGS, INC.</b> FILIPINO	C	340,527,520	340,527,520.00	13.68%	340,527,520.00
	TOTAL	340,527,520	340,527,520.00		
4. <b>PCD Nominee Corporation</b> NON-FILIPINO	C	258,291,844	258,291,844.00	10.38%	258,291,844.00
	TOTAL	258,291,844	258,291,844.00		
5. <b>PCD Nominee Corporation</b> FILIPINO	C	217,048,558	217,048,558.00	8.72%	217,048,558.00
	TOTAL	217,048,558	217,048,558.00		
6. <b>CISCO HOLDINGS INC.</b> FILIPINO	C	112,500,000	112,500,000.00	4.52%	112,500,000.00
	TOTAL	112,500,000	112,500,000.00		
7. <b>CRE Holdings, Inc.</b> FILIPINO	C	112,500,000	112,500,000.00	4.52%	112,500,000.00
	TOTAL	112,500,000	112,500,000.00		
<b>TOTAL AMOUNT OF SUBSCRIBED CAPITAL</b>			2,233,647,515.00	89.75%	2,233,647,515.00
<b>TOTAL AMOUNT OF PAID-UP CAPITAL</b>					

INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS

*Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.*



# **AMENDED GENERAL INFORMATION SHEET**

STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

<b>CORPORATE NAME:</b>		<b>ROXAS AND COMPANY, INC.</b>	
<b>TOTAL NUMBER OF STOCKHOLDERS:</b>	<b>3,340</b>	<b>NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:</b>	<b>3,138</b>
<b>TOTAL ASSETS BASED ON LATEST AUDITED FS:</b>		<b>PhP 7,263,528,017</b>	

## **STOCKHOLDER'S INFORMATION**

NAME AND NATIONALITY	SHARES SUBSCRIBED				AMOUNT PAID (PhP)
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNER-SHIP	
8. <b>IÑIGO HOLDINGS INC</b> FILIPINO	C	112,500,000	112,500,000.00	4.52%	112,500,000.00
	<b>TOTAL</b>		<b>112,500,000.00</b>		
9. <b>SRE HOLDINGS INC</b> FILIPINO	C	112,500,000	112,500,000.00	4.52%	112,500,000.00
	<b>TOTAL</b>	<b>112,500,000</b>	<b>112,500,000.00</b>		
10. <b>RIZAL COMMERCIAL BANKING</b> FILIPINO	C	3,048,161	3,048,161.00	0.12%	3,048,161.00
	<b>TOTAL</b>	<b>3,048,161</b>	<b>3,048,161.00</b>		
11. <b>ANTONIO ROXAS CHUA</b> FILIPINO	C	2,379,610	2,379,610.00	0.10%	2,379,610.00
	<b>TOTAL</b>	<b>2,379,610</b>	<b>2,379,610.00</b>		
12. <b>MARIA CARMEN R. ELIZALDE</b> FILIPINO	C	1,361,241	1,361,241.00	0.05%	1,361,241.00
	<b>TOTAL</b>	<b>1,361,241</b>	<b>1,361,241.00</b>		
13. <b>SANTIAGO R. ELIZALDE</b> FILIPINO	C	1,210,930	1,210,930.00	0.05%	1,210,930.00
	<b>TOTAL</b>	<b>1,210,930</b>	<b>1,210,930.00</b>		
14. <b>CARLOS R. ELIZALDE</b> FILIPINO	C	1,200,320	1,200,320.00	0.05%	1,200,320.00
	<b>TOTAL</b>	<b>1,200,320</b>	<b>1,200,320.00</b>		
<b>TOTAL AMOUNT OF SUBSCRIBED CAPITAL</b>			<b>234,200,262.00</b>	<b>9.41%</b>	<b>234,200,262.00</b>
<b>TOTAL AMOUNT OF PAID-UP CAPITAL</b>					

INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS

**Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate**

<b>AMENDED GENERAL INFORMATION SHEET</b>					
STOCK CORPORATION					
===== PLEASE PRINT LEGIBLY =====					
<b>CORPORATE NAME:</b>		ROXAS AND COMPANY, INC.			
<b>TOTAL NUMBER OF STOCKHOLDERS:</b>		3,340		NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH: <b>3,138</b>	
<b>TOTAL ASSETS BASED ON LATEST AUDITED FS:</b>		PhP 7,263,528,017			
STOCKHOLDER'S INFORMATION					
NAME AND NATIONALITY	SHARES SUBSCRIBED				AMOUNT PAID (PhP)
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNER-SHIP	
15. FRANCISCO R. ELIZALDE FILIPINO	C	1,203,013	1,203,013.00	0.05%	1,203,013.00
	TOTAL	1,203,013	1,203,013.00		
16. CENTRAL AZUCARERA DELA CARLOT RETIREMENT TRUST FUND PHILIPPINE NATIONAL	C	1,178,400	1,178,400.00	0.05%	1,178,400.00
	TOTAL	1,178,400	1,178,400.00		
17. PEDRO E. ROXAS  FILIPINO	C	937,892	937,892.00	0.04%	937,892.00
	TOTAL	937,892	937,892.00		
18. EQUITABLE SECURITIES FAO INIGO PHILIPPINE NATIONAL	C	933,810	933,810.00	0.04%	933,810.00
	TOTAL	933,810	933,810.00		
19. SEVERO A. TUASON & COMPANY, INC. FILIPINO	C	537,000	537,000.00	0.02%	537,000.00
	TOTAL		537,000.00		
20. DOLORES TEUS DE M. VARA DE REY  FILIPINO	C	488,020	488,020.00	0.02%	488,020.00
	TOTAL		488,020.00		
21. OTHERS (Indicate the number of the remaining stockholders)				0.62%	15,496,940.00
	COMMON	15,496,940	15,496,940.00		
	TOTAL		15,496,940.00		
TOTAL AMOUNT OF SUBSCRIBED CAPITAL			20,775,075.00	0.83%	20,775,075.00
TOTAL AMOUNT OF PAID-UP CAPITAL					
INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS					
<p><b>Note:</b> For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.</p>					



# AMENDED GENERAL INFORMATION SHEET

STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

**CORPORATE NAME:**

ROXAS AND COMPANY, INC.

<b>1. INVESTMENT OF CORPORATE FUNDS IN ANOTHER CORPORATION</b>	<b>AMOUNT (PhP)</b>	<b>DATE OF BOARD RESOLUTION</b>	
1.1 STOCKS			
1.2 BONDS/COMMERCIAL PAPER (Issued by Private Corporations)			
1.3 LOANS/ CREDITS/ ADVANCES			
1.4 GOVERNMENT TREASURY BILLS			
1.5 OTHERS			
<b>2. INVESTMENT OF CORPORATE FUNDS IN ACTIVITIES UNDER ITS SECONDARY PURPOSES (PLEASE SPECIFY:)</b>	<b>DATE OF BOARD RESOLUTION</b>	<b>DATE OF STOCKHOLDERS RATIFICATION</b>	
<b>3. TREASURY SHARES</b>	<b>NO. OF SHARES</b>	<b>% AS TO THE TOTAL NO. OF SHARES ISSUED</b>	
<b>4. UNRESTRICTED/UNAPPROPRIATED RETAINED EARNINGS AS OF END OF LAST FISCAL YEAR</b>			
<b>5. DIVIDENDS DECLARED DURING THE IMMEDIATELY PRECEDING YEAR:</b>			
<b>TYPE OF DIVIDEND</b>	<b>AMOUNT (PhP)</b>	<b>DATE DECLARED</b>	
5.1 CASH			
5.2 STOCK			
5.3 PROPERTY			
<b>TOTAL</b>	<b>P</b>		
<b>6. ADDITIONAL SHARES ISSUED DURING THE PERIOD:</b>			
<b>DATE</b>	<b>NO. OF SHARES</b>	<b>AMOUNT</b>	
<b>SECONDARY LICENSE/REGISTRATION WITH SEC AND OTHER GOV'T AGENCY:</b>			
<b>NAME OF AGENCY:</b>	<b>SEC</b>	<b>B S P</b>	<b>I C</b>
<b>TYPE OF LICENSE/REGN.</b>	Permit to sell securities		
<b>DATE ISSUED:</b>	3/16/1994		
<b>DATE STARTED OPERATIONS:</b>	10/17/1918		
<b>TOTAL ANNUAL COMPENSATION OF DIRECTORS DURING THE PRECEDING FISCAL YEAR (in PhP)</b>	<b>TOTAL NO. OF OFFICERS</b>	<b>TOTAL NO. OF RANK &amp; FILE EMPLOYEES</b>	<b>TOTAL MANPOWER COMPLEMENT</b>
	5		
NOTE: USE ADDITIONAL SHEET IF NECESSARY			

**I, MONICA ISABELLE I. VILLANUEVA**

(Name)

ASSISTANT CORPORATE SECRETARY

(Position)

of **ROXAS AND COMPANY INC**

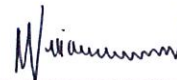
DECLARE UNDER THE PENALTY OF PERJURY, THAT ALL MATTERS SET FORTH IN THIS GENERAL

INFORMATION SHEET WHICH CONSISTS OF EIGHT (8) PAGES HAVE BEEN MADE IN GOOD FAITH, DULY VERIFIED BY ME AND TO THE BEST OF MY KNOWLEDGE AND BELIEF, ARE TRUE AND CORRECT.

**I HEREBY CERTIFY THAT THE PERSONS INDICATED AS MEMBERS OF THE BOARD OF DIRECTORS AND OFFICERS OF THE CORPORATION HAD CONSENTED IN WRITING TO BE NOMINATED AND ELECTED.**

I UNDERSTAND THAT THE FAILURE OF THE CORPORATION TO FILE THIS GIS FOR FIVE (5) CONSECUTIVE YEARS SHALL BE CONSTRUED AS NON-OPERATION OF THE CORPORATION AND A GROUND FOR THE REVOCATION OF THE CORPORATION'S CERTIFICATE OF INCORPORATION. IN THIS EVENTUALITY, THE CORPORATION HEREBY WAIVES ITS RIGHT TO A HEARING FOR THE SAID REVOCATION.

DONE THIS **DEC 19 2018**, 2018 IN MAKATI CITY, PHILIPPINES.



**MONICA ISABELLE I. VILLANUEVA**

**DEC 19 2018**

SUBSCRIBED AND SWORN TO BEFORE ME IN MAKATI CITY, PHILIPPINES THIS  
AFFIANT PERSONALLY APPEARED BEFORE ME AND EXHIBITED TO ME HER PASSPORT I.D. No. P1265908A  
ISSUED ON 17 DECEMBER 2016 AT MANILA, PHILIPPINES.

Doc. No. 88;  
Page No. 19;  
Book No. 133;  
Series of 2018.

**ATTY. JOHN DOMINGO A. PONCE, JR.**  
NOTARY PUBLIC  
APPOINTMENT No. M-202 MAKATI CITY  
UNTIL DECEMBER 31, 2018  
PTR No. 6607675 / 01-3-2014 MAKATI CITY  
IB No. 016942 / 12-16-2017 / RIZAL  
VEHICLE COMPLIANCE No. V-0921750 / 03-03-2016  
ROLL NO. 36952/TIN No. 196-552-192-669  
Unit G-14 Makati Executive Tower 3  
Sen. Gil Puyat Avenue, Alabang, Makati City, Metro Manila



**AMENDED GENERAL INFORMATION SHEET**

STOCK CORPORATION

**NOT FOR UPLOADING**

===== PLEASE PRINT LEGIBLY =====

**CORPORATE NAME:** ROXAS AND COMPANY, INC.**LIST OF STOCKHOLDERS, DIRECTORS AND OFFICERS****TAX IDENTIFICATION NO. (TIN) INFORMATION**

NAME AND CURRENT RESIDENTIAL ADDRESS	NATIONALITY	POSITION	TIN
1. <b>SPCI Holdings, Inc.</b> Unit 1701, The Peak, 107 Levistre Street, Legaspi Village, Makati City	PHILIPPINE NATIONAL	S	004-774-849
2. <b>PESAN HOLDINGS, INC.</b> 7F CG BLDG., 101 AGUIRRE ST., LEGASPI VILL. MAKATI	PHILIPPINE NATIONAL	S	221-188-467
3. <b>PCD Nominee Corporation</b> 37F Tower I, Enterprise Center, Ayala Avenue Makati City	OTHER ALIEN	S	004-774-849
4. <b>PCD Nominee Corporation</b> 37F Tower I, Enterprise Center, Ayala Avenue Makati City	PHILIPPINE NATIONAL	S	004-774-849
5. <b>CISCO Holdings, Inc.</b> Unit 1701, The Peak, 107 Levistre Street, Legaspi Village, Makati City	PHILIPPINE NATIONAL	S	009-082-716
6. <b>CRE Holdings, Inc.</b> Unit 1701, The Peak, 107 Levistre Street, Legaspi Village, Makati City	PHILIPPINE NATIONAL	S	009-072-440
7. <b>IÑIGO Holdings, Inc.</b> Unit 1701, The Peak, 107 Levistre Street, Legaspi Village, Makati City	PHILIPPINE NATIONAL	S	009-082-724
8. <b>SRE Holdings, Inc.</b> Unit 1701, The Peak, 107 Levistre Street, Legaspi Village, Makati City	PHILIPPINE NATIONAL	S	009-072-440
9. <b>Rizal Commercial Banking</b> 333 Sen. Gil Puyat Ave. Makati City	PHILIPPINE NATIONAL	S	049-000-599-760
10. <b>ANTONIO ROXAS CHUA</b> 6F LEGASPI TOWERS 200 PASEO DE ROXAS MAKATI CITY	FILIPINO	S	NOT ON FILE
11. <b>MARI CARMEN ROXAS DE ELIZALDE</b> 7F CG BLDG., 101 AGUIRRE ST., LEGASPI VILLAGE, MAKATI CITY	FILIPINO	S	134-210-848
12. <b>SANTIAGO R. ELIZALDE</b> 7F CG BLDG., 101 AGUIRRE ST., LEGASPI VILL., MAKATI	FILIPINO	S	100-349-993
13. <b>CENTRAL AZUCARERA DELA CARLOTA</b> 6F CG BLDG., 101 AGUIRRE ST., LEGASPI VILLAGE, MAKATI CITY	PHILIPPINE NATIONAL	S	246-316-829
14. <b>EQUITABLE SECURITIES FAO IÑIGO ELIZALDE</b> 6F LEGASPI TOWERS 200 PASEO DE ROXAS MAKATI CITY	FILIPINO	S	NOT ON FILE
15. <b>SEVERO A. TUASON &amp; COMPANY, INC.</b> 14-B CORPORATE, AND AVENUE ORTIGAS AVENUE, PASIG CITY	PHILIPPINE NATIONAL	S	NOT ON FILE

**INSTRUCTION:**

FOR POSITION COLUMN, PUT THE FOLLOWING IF APPLICABLE:

"C" for Chairman, "M" for Member, "I" for Independent Director; and/or

Indicate the Particular Position, if Officer (e.g. President, Treasurer, Corporate Secretary, etc.); and/or

"S" for Stockholder.



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NAME AND CURRENT RESIDENTIAL ADDRESS	NATIONALITY	POSITION	TIN
16. <b>DOLORES TEUS DE M. VARA DE REY</b> THE CHASE MANHATTAN BANK, 1 CHASE MANHATTAN, NEW YORK	PHILIPPINE NATIONAL	S	NOT ON FILE
17. <b>AMALGAMATED INVESTMENT BANCORPORATION</b> 11th floor, Multinational Bancorp Center, 6805 Ayala Ave, Makati, 1200 Metro Manila	PHILIPPINE NATIONAL	S	NOT ON FILE
<b>OTHER STOCKHOLDERS/CORPORATE OFFICERS</b>			
18. <b>PEDRO E. ROXAS</b> 7F CG BLDG., 101 AGUIRRE ST., LEGASPI VILLAGE, MAKATI CITY	FILIPINO	S / C	104-738-165
19. <b>FRANCISCO JOSE R. ELIZALDE</b> 1701 THE PEAK, 107 LEVISTE ST., SALCEDO VILLAGE, MAKATI CITY	FILIPINO	S / M	119-132-731
20. <b>CARLOS R. ELIZALDE</b> 1701 THE PEAK, 107 LEVISTE ST., SALCEDO VILLAGE, MAKATI CITY	FILIPINO	S / M	119-814-785
21. <b>FERNANDO L. GASPAR</b> 7F CG BLDG., 101 AGUIRRE ST., LEGASPI VILL., MAKATI CITY	FILIPINO	S / M / President and CEO	119-140-936
22. <b>GUILLERMO D. LUCHANGCO</b> 1148 TAMARIND ROAD, DASMARINAS VILLAGE, MAKATI CITY	FILIPINO	S / I	106-185-062
23. <b>CORAZON S. DE LA PAZ-BERNARDO</b> 17A MCKINLEY ROAD, FORBES PARK, MAKATI CITY	FILIPINO	S / I	112-070-586
24. <b>AURELIO R. MONTINOLA, III</b> 29 PILI AVE., SOUTH FORBES PARK, MAKATI CITY	FILIPINO	S / I	135-558-086
25. <b>PETER DONNELLY A. BAROT</b> 19/F LIBERTY CENTER, 104 H.V. DELA COSTA ST. SALCEDO VILLAGE, MAKATI CITY	FILIPINO	Corporate Secretary	107-478-120
26. <b>ARMANDO B. ESCOBAR</b> 7F CG BLDG., 101 AGUIRRE ST., LEGASPI VILL., MAKATI CITY	FILIPINO	EVP-CFO/ Treas./ Risk Mgt. Ofcr.	109-203-427
27. <b>MONICA ISABELLE I. VILLANUEVA</b> 7F CG BLDG., 101 AGUIRRE ST., LEGASPI VILL., MAKATI CITY	FILIPINO	Assistant Corporate Secretary	238-362-441
28. <b>JULIO JUDE D. MONTINOLA</b> 7F CG BLDG., 101 AGUIRRE ST., LEGASPI VILL., MAKATI CITY	FILIPINO	Asst. Vice President - Business Dev't, Strat. Planning and Communications	175-030-327

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Indicate the Particular Position, if Officer (e.g. President, Treasurer, Corporate Secretary, etc.); and/or

"S" for Stockholder.

**NOTE: USE ADDITIONAL SHEET IF NECESSARY**